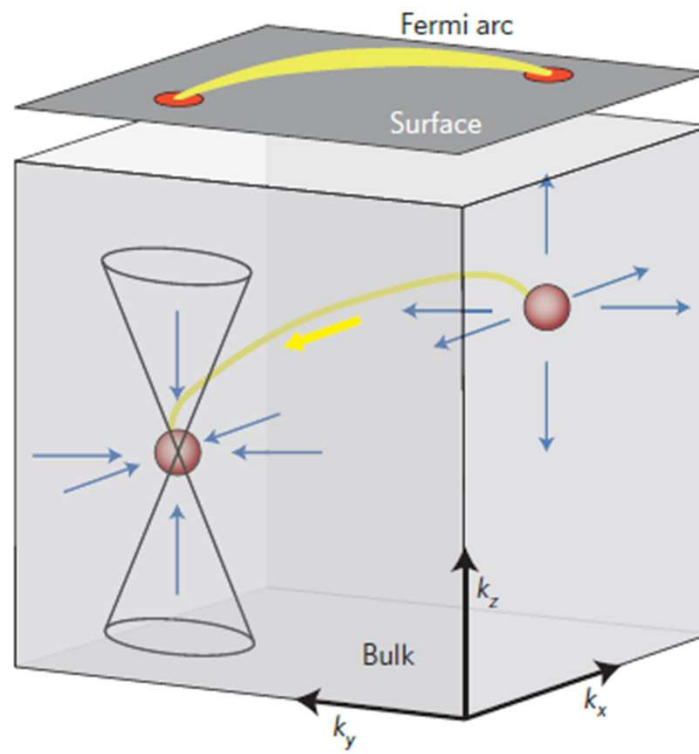
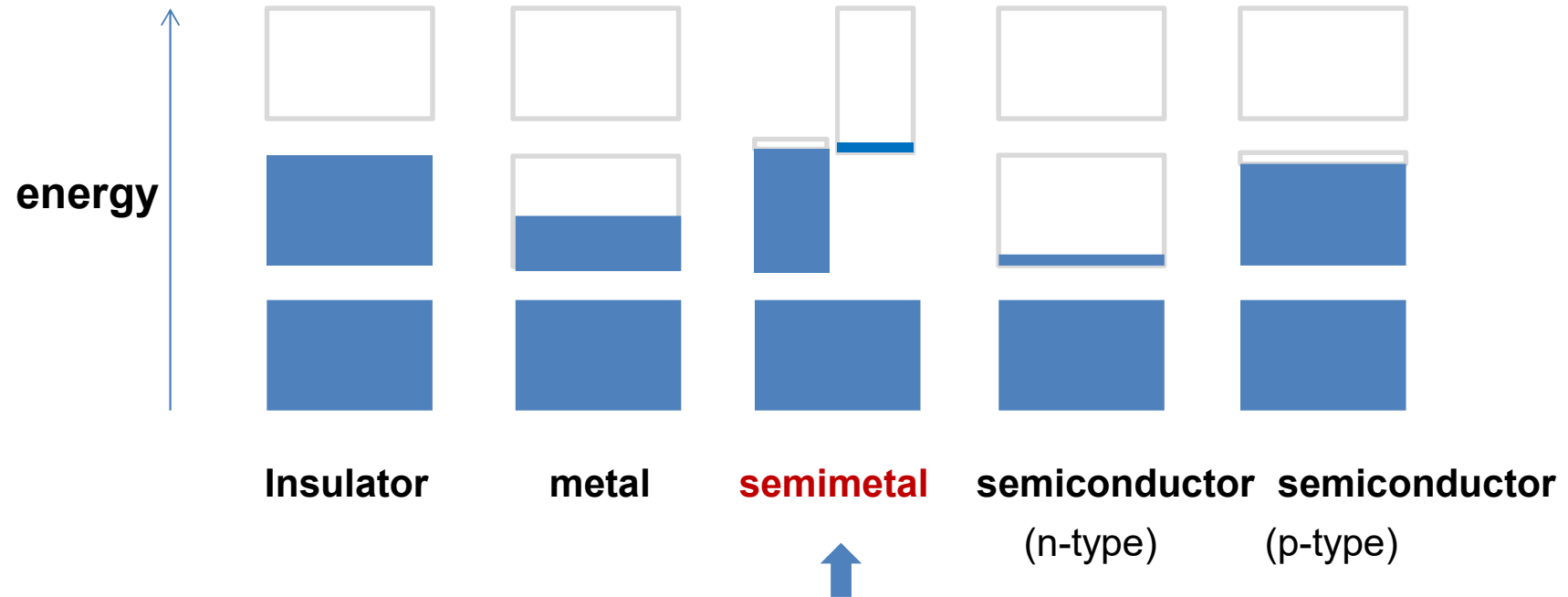


Weyl semimetal



Band theory of solids



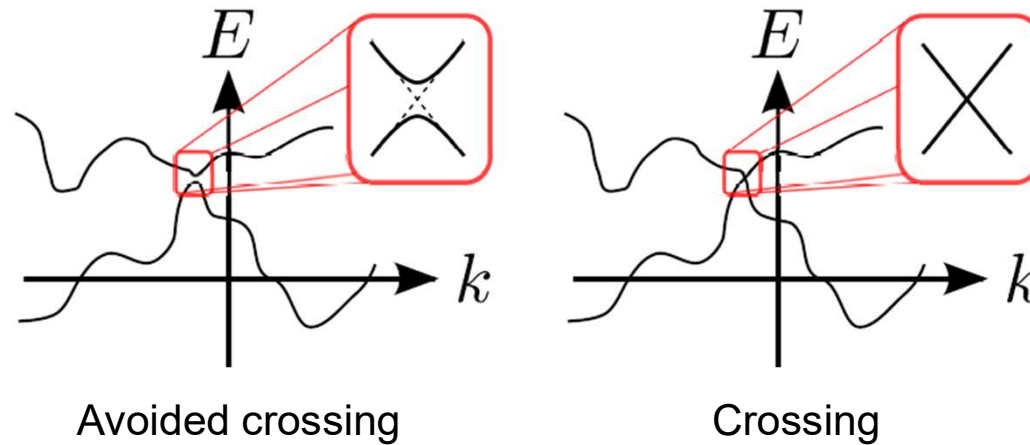
Small DOS near Fermi level.

Graphene as a 2D semi-metal.

3D analogue of graphene?

→ Weyl semimetal

Accidental degeneracy between 2 levels (2D, 3D)



- Wigner-von Neumann “theorem” (1929):

2-level H can be expanded by Pauli matrices,

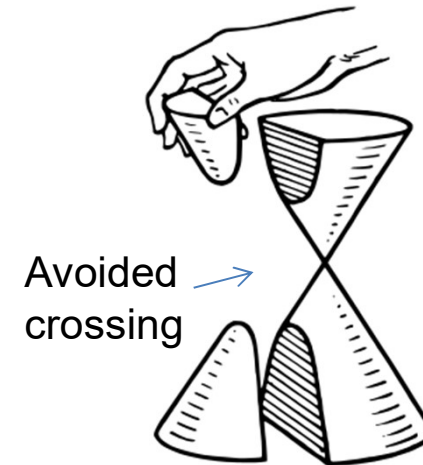
$$H = d_0(\vec{k}) + d_x(\vec{k})\sigma_x + d_y(\vec{k})\sigma_y + d_z(\vec{k})\sigma_z$$

$$\rightarrow E_{\pm} = d_0 \pm \sqrt{d_x^2 + d_y^2 + d_z^2}$$

\rightarrow degeneracy only when $d_x = d_y = d_z = 0$

(Co-dimension is 3)

- 3D: one (or several) point degeneracy in 3D \mathbf{k} -space
- 2D: unlikely to have a point degeneracy in 2D \mathbf{k} -space



Stability of the Dirac point in graphene

- TRS $H(\vec{k})^* = H(-\vec{k})$
 $\rightarrow d_x(\vec{k}), d_y(\vec{k}), d_z(\vec{k}) = \text{even, odd, even}$

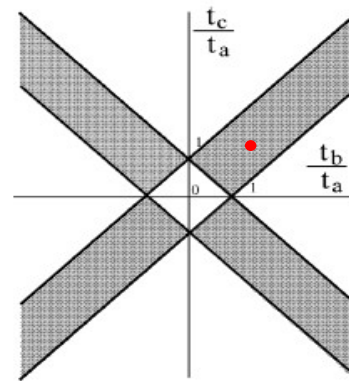
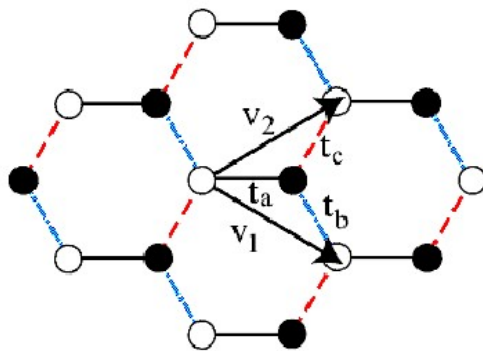
- SIS (for graphene, $\pi=\sigma_x$)

$$\sigma_x H(\vec{k}) \sigma_x = H(-\vec{k})$$

$$\rightarrow d_x(\vec{k}), d_y(\vec{k}), d_z(\vec{k}) = \text{even, odd, odd}$$

- TRS+SIS \rightarrow no σ_z term \leftarrow Co-dimension is 2
 (point degeneracy in 2D BZ)

- point degeneracy is further protected by C_3 symmetry (Ch 7, Bernevig)

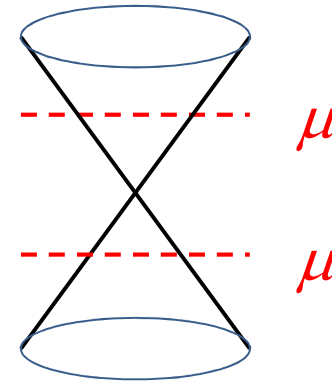


Consequence of level crossing

- 2 level crossing in **2D** (SS of TI, graphene)

e.g., $H_{SS} = \alpha(\boldsymbol{\sigma} \times \mathbf{k})_z + O(k^2)$

➔ $F_z^\pm = \mp \pi \delta^2(\mathbf{k})$



- 2 level crossing in **3D** (Weyl semi-metal)

e.g., $H_{2 \times 2}(\vec{k}) = \vec{k} \cdot \vec{\sigma}$

➔ $\vec{F}_\pm = \mp \frac{1}{2} \frac{\hat{k}}{k^2}$ (Recall the Berry curvature of Zeeman coupling)

A Weyl point is a “monopole” in **momentum** space
(source or sink of Berry flux)

Chirality and monopole charge

- Near a Weyl point

$$H_{2 \times 2}(\vec{q}) = h_0(\vec{q}) + \vec{h}(\vec{q}) \cdot \vec{\sigma}, \quad \vec{q} \equiv \vec{k}_0 + \vec{k}$$

$$\begin{aligned} &\simeq h_0(\vec{q}) + \vec{h}(\vec{k}_0) \cdot \vec{\sigma} + \frac{\partial h_i}{\partial k_j} k_j \sigma_i \\ &= \vec{v}_i \cdot \vec{k} \sigma_i \end{aligned}$$

手徵 **Chirality** (or **helicity**)

$$\chi \equiv \text{sgn} \left[\det \left(\frac{\partial h_i}{\partial k_j} \right) \right] \text{ or } \text{sgn}(\vec{v}_1 \cdot \vec{v}_2 \times \vec{v}_3)$$

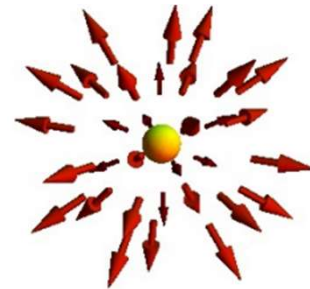
e. g., $H = \pm v_F \vec{k} \cdot \vec{\sigma}, \quad \chi = \pm$

Berry curvature

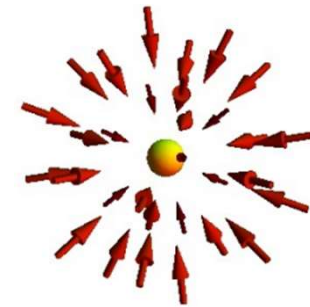
$$\vec{F}^\chi = \frac{\chi}{2} \frac{\hat{k}}{k^2}$$

- Berry flux (\sim monopole charge) is **quantized**

$$\Phi_F = 2\pi C_1.$$



$\chi = +$



$\chi = -$

Stability of Weyl point

Weyl point is stable against perturbation

$$H = \pm v_F \vec{\sigma} \cdot \vec{k} + H'$$

a general perturbation:

$$H' = a(\vec{k}) + \vec{b}(\vec{k}) \cdot \vec{\sigma}$$

$$\rightarrow H' \cong a(\vec{k}) + \vec{b}(0) \cdot \vec{\sigma} + \frac{\partial b_i(0)}{\partial k_j} k_j \sigma_i$$

Shift position of node

Renormalize v_F

e. g.,
$$H = v_F \vec{\sigma} \cdot \vec{k} + m \sigma_z$$

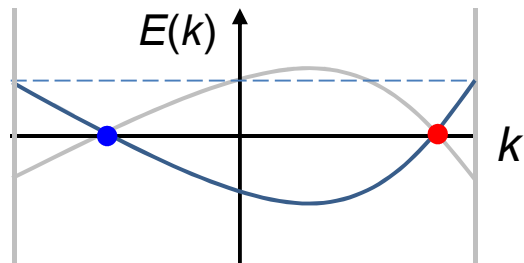
- Weyl node can only appear/disappear by pair creation/annihilation

Nielsen-Ninomiya theorem (1981): 二宮正夫

Aka Fermion-doubling theorem, no-go theorem

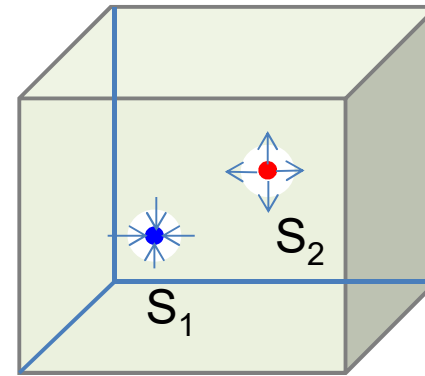
In a lattice, massless Weyl fermions must appear in pairs with opposite chiralities.

- Energy band in 1D BZ



Zeros need to appear in pairs

- Energy band in 3D BZ



Total Berry flux from Weyl points needs to be zero.

To be precise, for a lattice in odd space dimensions, without breaking any of these: translation symmetry, chiral symmetry (locality, hermiticity), massless Weyl fermions must appear in pairs.

Note: 1. Used to be a problem in lattice QCD

2. In 2 dim, chirality is not well-defined. E.g., graphene

Topological origin of the Nielsen-Ninomiya theorem

Winding number again

Index of a point defect in a vector field

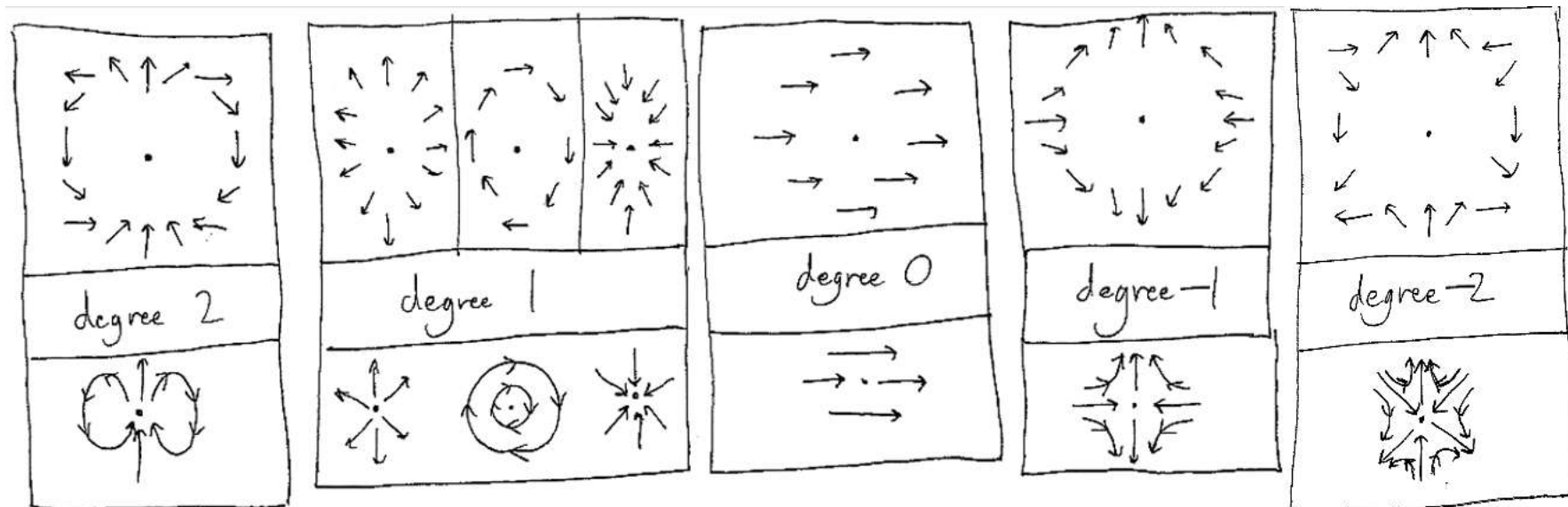


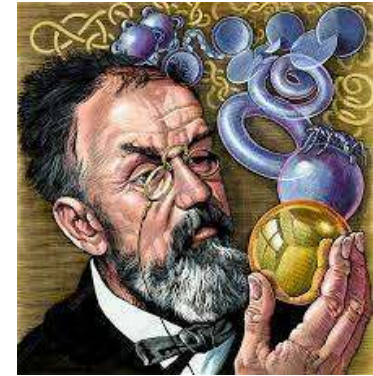
Fig from Jonas Kibelbek

Hopf-Poincare theorem

- Connecting **index of point defect** with **topology**

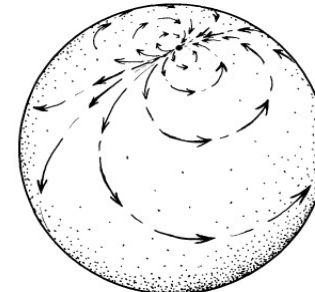
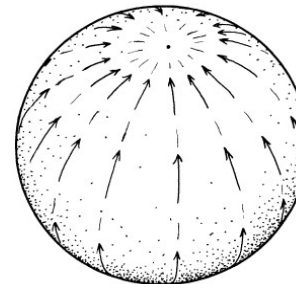
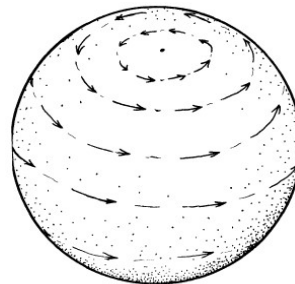
$$\sum_i \text{ind}(v_i) = \chi(M)$$

Euler characteristics



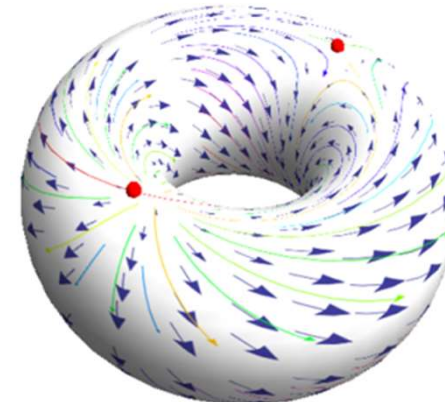
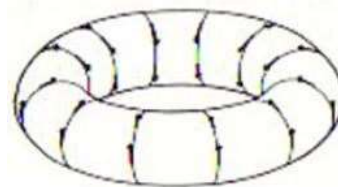
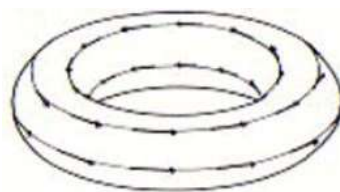
For a **sphere**

$$\sum_i \text{ind}(v_i) = 2$$



For a **torus**

$$\sum_i \text{ind}(v_i) = \chi(T^2) = 0$$



Brillouin zone as a torus (1D, 2D, 3D)

Berry connection $\mathbf{A}(\mathbf{k})$ as a vector field in BZ

Symmetry and Weyl point

- $H = \pm v_F \vec{\sigma} \cdot (\vec{k} - \vec{k}_0)$
A monopole at \mathbf{k}_0
- TR Symm

$$\vec{k} \rightarrow -\vec{k}, \quad \vec{\sigma} \rightarrow -\vec{\sigma}$$

$$H \rightarrow H' = \pm v_F \vec{\sigma} \cdot (\vec{k} + \vec{k}_0)$$
→ A monopole at $-\mathbf{k}_0$ with the *same* chirality
 - SI Symm

$$\vec{k} \rightarrow -\vec{k}, \quad \vec{\sigma} \rightarrow \vec{\sigma}$$

$$H \rightarrow H' = \mp v_F \vec{\sigma} \cdot (\vec{k} + \vec{k}_0)$$
→ A monopole at $-\mathbf{k}_0$ with the *opposite* chiralities
- Nielsen-Ninomiya theorem: always a pair with *opposite* chirality

TRS	IS	Implications	Min. number
×	×	Weyl nodes can be at any \vec{k} and may have different energies. ¹¹³	2
✓	×	Weyl node at $\vec{k}_0 \Leftrightarrow$ Weyl node of <i>same</i> chirality at $-\vec{k}_0$.	4
×	✓	Weyl node at $\vec{k}_0 \Leftrightarrow$ Weyl node of <i>opposite</i> chirality at $-\vec{k}_0$.	2
✓	✓	Not topologically stable	none

\nwarrow
 Dirac point (but can be protected by crystal symmetry)

Families of fermions in Particle physics



- Dirac fermion (1928)
 - Relativistic spin $\frac{1}{2}$ fermion
 - 4 components
 - Electron, proton ...

$$\begin{pmatrix} \varphi_1 \\ \varphi_2 \\ \varphi_3 \\ \varphi_4 \end{pmatrix}$$

Realizations in Solid-state

Graphene with spin
(2004)



- Weyl fermion (1929)
 - Massless $\frac{1}{2}$ fermion
 - 2 components
 - Not found in nature

$$\begin{pmatrix} \varphi_1 \\ \varphi_2 \end{pmatrix}$$

TaAs... 砷化鉭
(2015)

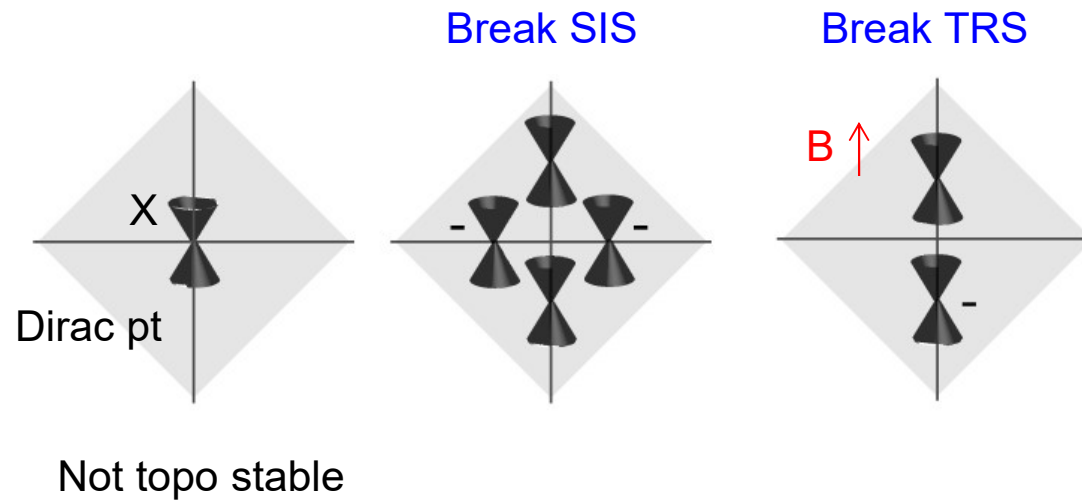


- Majorana fermion (1937)
 - Being its own anti-particle
 - 2 *independent* components
 - Candidate for neutrino

$$\begin{pmatrix} \varphi_1 \\ \varphi_2 \\ \varphi_1^* \\ \varphi_2^* \end{pmatrix}$$

Semi-SC hybrid
structure
(2012, 14, 16)

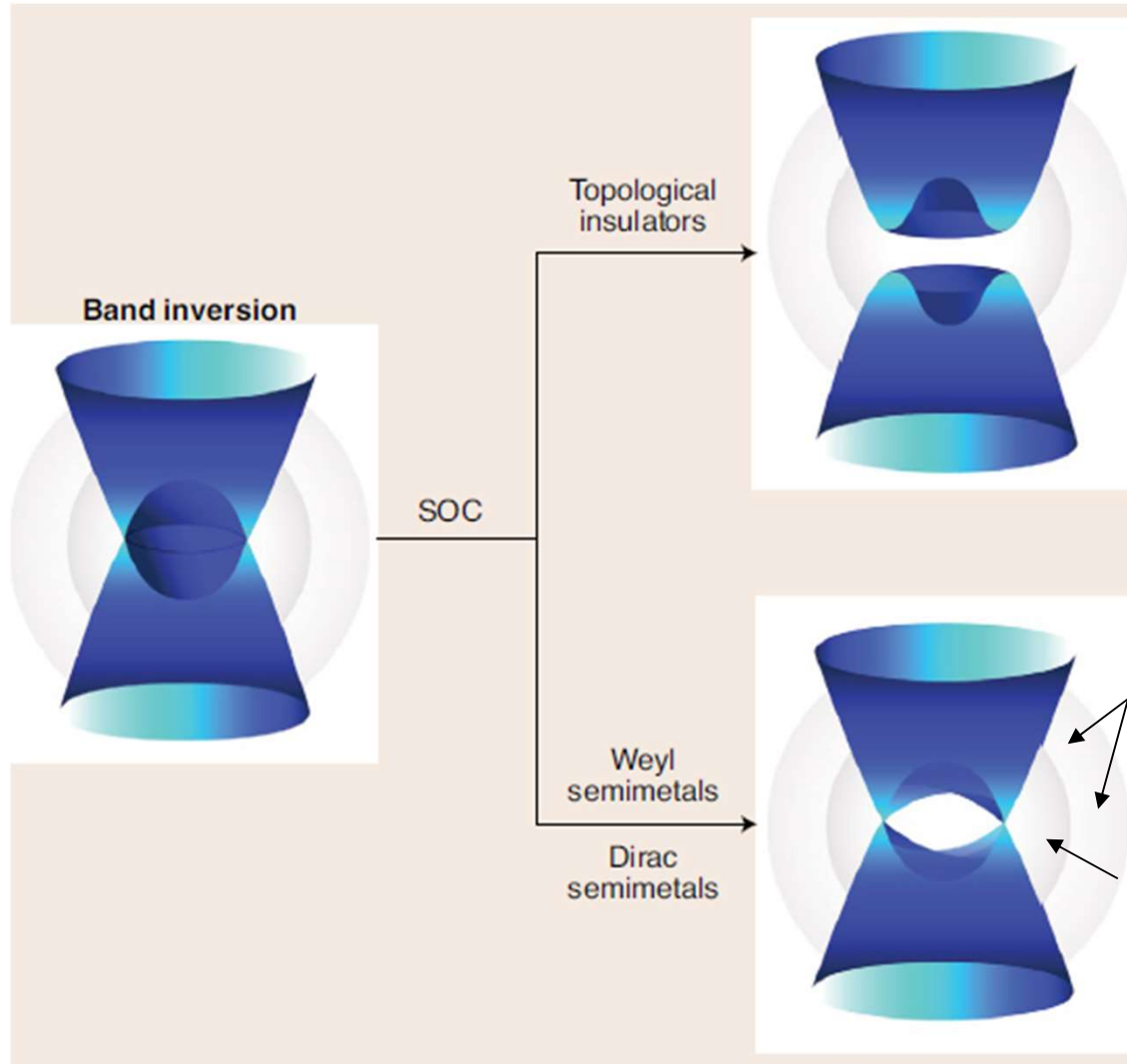
From Dirac SM to Weyl SM



Young et al, PRL 2012

Searching for degenerate point

1. Band-inversion mechanism (e.g., Na_3Bi , Cd_3As_2)



- **Avoided crossing** due to coupling of 2 bands

Along some symm axis:

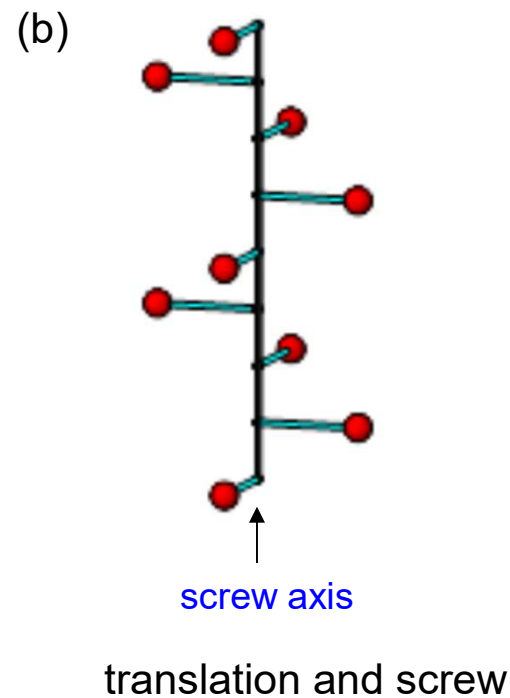
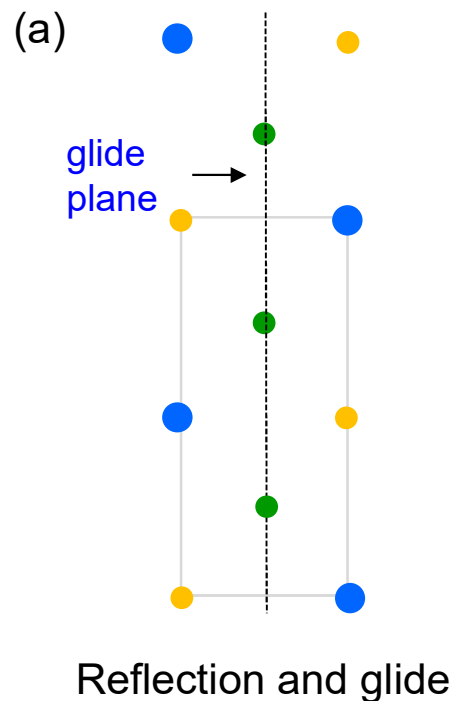
- 2 branches from **different IRs** (with different symm eigenvalues, **no coupling**) of the symmetry.
- Dirac point could disappear if band-inversion is tuned away (symmetry unchanged)

Fig: Narang et al, Nat Mater 2021

2. Symmetry-enforced mechanism (e.g., PdTe₂, PtTe₂, PtSe₂)

Search for space group that supports small groups G_k with 4-dim IR (FDIR)

- Possibility can be excluded for symmorphic space groups in 3D
(see Armitage's RMP, 2018) 共型空間群
- Search within nonsymmorphic groups (i.e., with glide planes, screw axes)
- DPs usually are located on BZ boundary (face, edge, or corner)



CRYSTALLOGRAPHY	d=2	d=3
LATTICES	5	14
POINT GROUPS	10	32
SPACE GROUPS	17	230
SYMMORPHIC	13	73
NON-SYMMORPHIC	4	157

†Of the 157 nonsymmorphic three-dimensional space groups, 155 involve glide planes or screw axes, and two are exceptional cases.

From the 32 point groups and the different Bravais lattices, we can get 73 space groups which involve **ONLY** rotations, reflection and rotoinversions.

Non-symmorphic space groups involve translational elements (screw axes and glide planes).

There are 157 non-symmorphic space groups

230 space groups in total!

Physics related to Weyl fermions

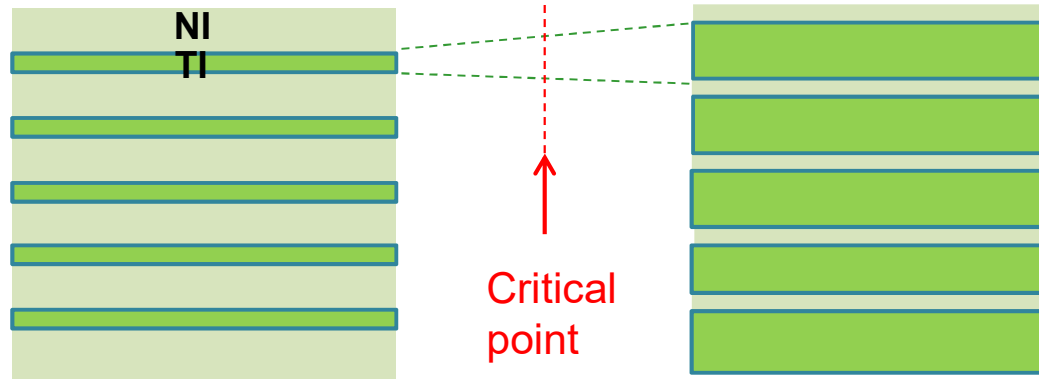
1. Anomalous Hall effect
 2. Fermi arc of surface state
 3. Chiral anomaly
 4. Chiral magnetic effect
 5. ...
- } Use
Burkov-Balents model
as an example

WP as a critical point of QPT:

Burkov-Balents model - multi-layer heterostructure

(Burkov and Balents PRL 2011)

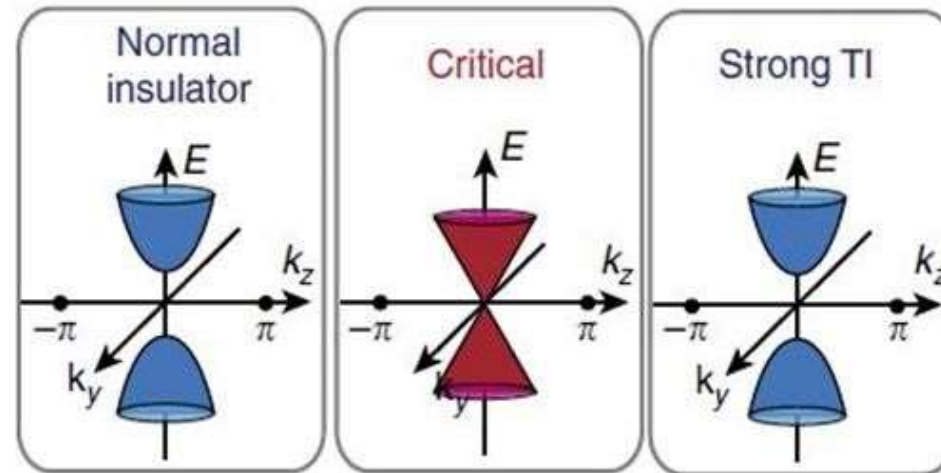
Normal insulator
Topological
insulator



Coupling
between surface
states:

$t_s > t_d$: normal insulator

$t_s < t_d$: topological insulator



m_c

$$m = \frac{t_d}{t_s}$$

Two SS's from
one TI slab

$$H = v\tau_z \otimes (\boldsymbol{\sigma} \times \mathbf{k}_\perp) \cdot \hat{z} + m1 \otimes \sigma_z + t_s \tau_x \otimes 1$$

Multiple layers

$$\hat{H} = \sum_l [v\tau_z (\boldsymbol{\sigma} \times \mathbf{k}_\perp) \cdot \hat{z} + m\sigma_z + t_s \tau_x] c_l^\dagger c_l \\ + \sum_l t_d (\tau_+ c_l^\dagger c_{l+1} + \tau_- c_l^\dagger c_{l-1}),$$

$$\tau_\pm = (\tau_x \pm i\tau_y)/2, c_l = (c_{lu}, c_{ld})^T$$

Fourier
transform

$$c_l^\dagger = \frac{1}{\sqrt{N}} \sum_{k_z} e^{ildk_z} c_{k_z}^\dagger$$



$$\hat{H} = \sum_{k_z} \left[v\tau_z (\boldsymbol{\sigma} \times \mathbf{k}_\perp) \cdot \hat{z} c_{k_z}^\dagger c_{k_z} \right. \\ + m\sigma_z c_{k_z}^\dagger c_{k_z} \\ + t_s \tau_x c_{k_z}^\dagger c_{k_z} \\ \left. + t_d (e^{-ik_z d} \tau_+ c_{k_z}^\dagger c_{k_z} + e^{ik_z d} \tau_- c_{k_z}^\dagger c_{k_z}) \right] \\ = \sum_{k_z} \begin{pmatrix} h_0 + m\sigma_z & t_s + t_d e^{-ik_z d} \\ t_s + t_d e^{ik_z d} & -h_0 + m\sigma_z \end{pmatrix} c_{k_z}^\dagger c_{k_z} \\ \equiv \sum_{k_z} H_{k_z} c_{k_z}^\dagger c_{k_z}, \quad \text{Each } k_z \text{ is an independent subsystem}$$

$$\begin{aligned} H_{k_z} &= \tau_z h_0 + m\sigma_z \\ &+ t_s \tau_x + t_d (e^{-ik_z d} \tau_+ + e^{ik_z d} \tau_-) \end{aligned}$$

$$h_0 = v(\boldsymbol{\sigma} \times \mathbf{k}_\perp) \cdot \hat{z}$$

Unitary
rotation

$$U = \begin{pmatrix} 1 & 0 \\ 0 & \sigma_z \end{pmatrix}$$

$$\tau_{x,y} \rightarrow U^\dagger \tau_{x,y} U = \tau_{x,y} \sigma_z,$$

$$\sigma_{x,y} \rightarrow U^\dagger \sigma_{x,y} U = \tau_z \sigma_{x,y}.$$



$$\begin{aligned} H_{k_z} &= h_0 + m\sigma_z \\ &+ [t_s \tau_x + t_d (e^{-ik_z d} \tau_+ + e^{ik_z d} \tau_-)] \sigma_z \end{aligned}$$

$$\begin{aligned} H_{k_z} &= h_0 + \underbrace{\left[m + \tau_z \sqrt{t_s^2 + t_d^2 + 2t_s t_d \cos(k_z d)} \right]}_{M_{\tau_z}(k_z)} \sigma_z \\ &= v(\boldsymbol{\sigma} \times \mathbf{k}_\perp) \cdot \hat{z} + M_{\tau_z}(k_z) \sigma_z, \end{aligned}$$

Band structure

$$\varepsilon_{\pm}^{\tau_z} = \pm \sqrt{v^2(k_x^2 + k_y^2) + M_{\tau_z}^2(k_z)}$$

$$M_{\tau_z}(k_z) = m + \tau_z \sqrt{t_s^2 + t_d^2 + 2t_s t_d \cos(k_z d)}$$

Gap closes when

$$\cos(k_0 d) = \frac{m^2 - (t_s^2 + t_d^2)}{2t_s t_d}$$

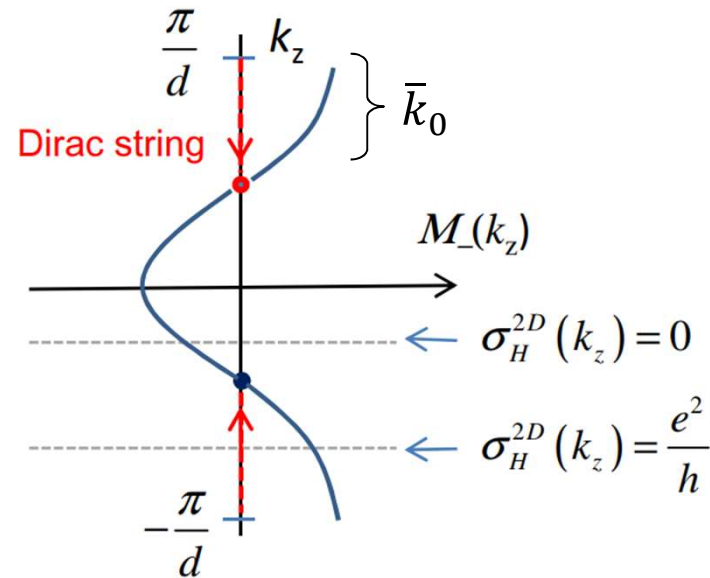
$$\underbrace{|t_s - t_d|}_{m_{c1}} \leq m \leq \underbrace{|t_s + t_d|}_{m_{c2}}$$

Hall conductivity

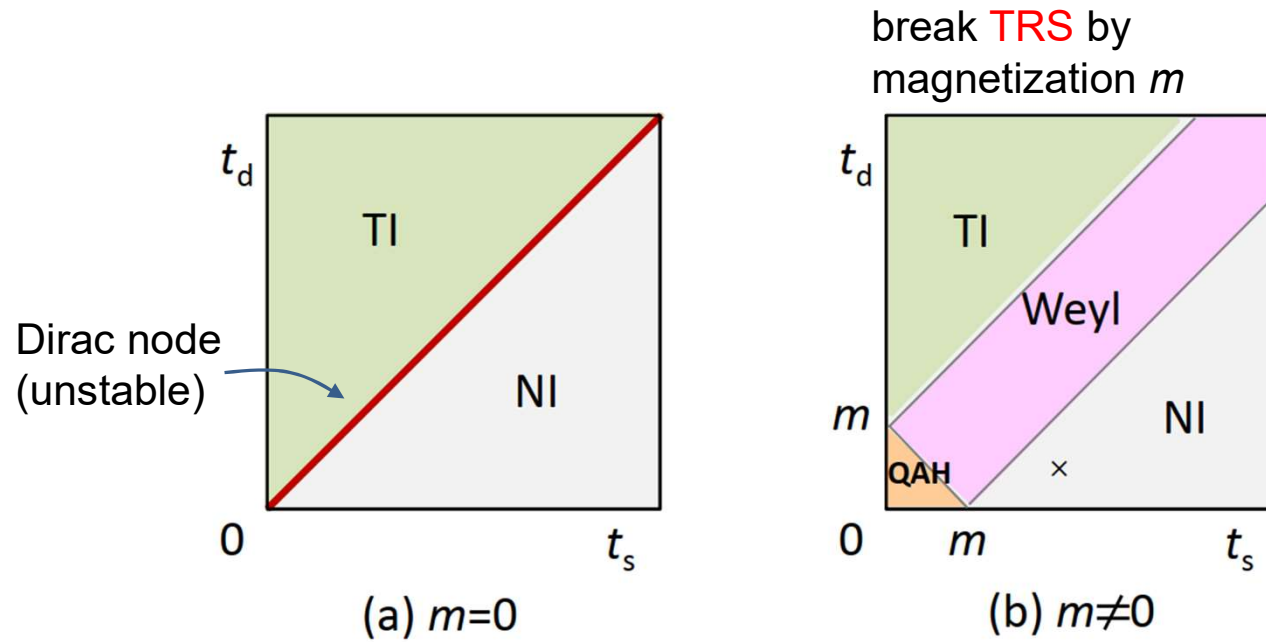
$$\begin{aligned} \sigma_H^{3D} &= \frac{1}{L_z} \sum_{k_z} \sigma_H^{2D}(k_z) \\ &= \int_{-\pi/d}^{\pi/d} \frac{dk_z}{2\pi} \sigma_H^{2D}(k_z) = \frac{e^2}{h} \frac{\bar{k}_0}{\pi} \end{aligned}$$

Semi-quantized Hall conductivity

$$\sigma_H^{3D} = \frac{e^2}{h} \frac{1}{d}$$



Phase diagram of Burkov-Balents model



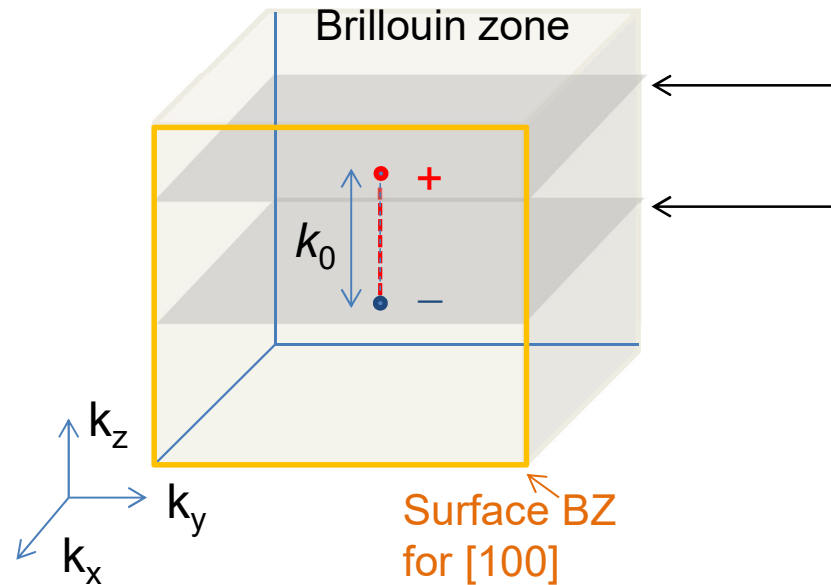
Weyl:

$$|t_S - t_D| < m < t_S + t_D$$

$$m_{c1} \qquad m_{c2}$$

Anomalous QHE in Weyl SM

3D = a stack of 2D layers



One 2D layer for each k_z

Hall conductivity

$$\sigma_H^{2D}(k_z) = 0$$

$$\sigma_H^{2D}(k_z) = \frac{e^2}{h} \quad \text{Cut through Dirac string (the center of vortex)}$$

Total Hall conductivity

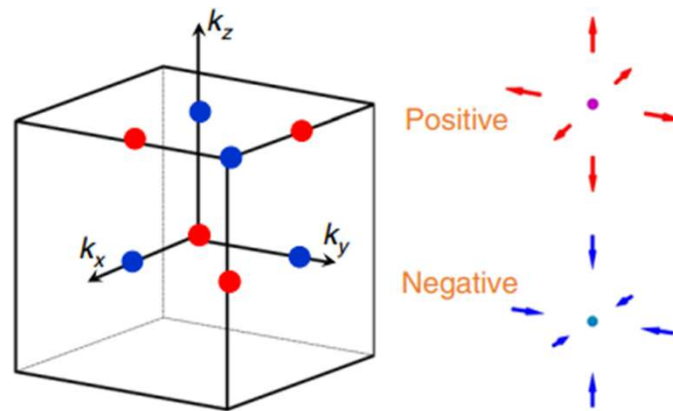
$$\sigma_H^{3D} = \frac{1}{L_z} \sum_{k_z} \sigma_H^{2D}(k_z) = \frac{e^2}{h} \frac{k_0}{2\pi}$$

- 2 Weyl nodes are created at origin
- They are linked by a string of gauge singularity (Dirac string)

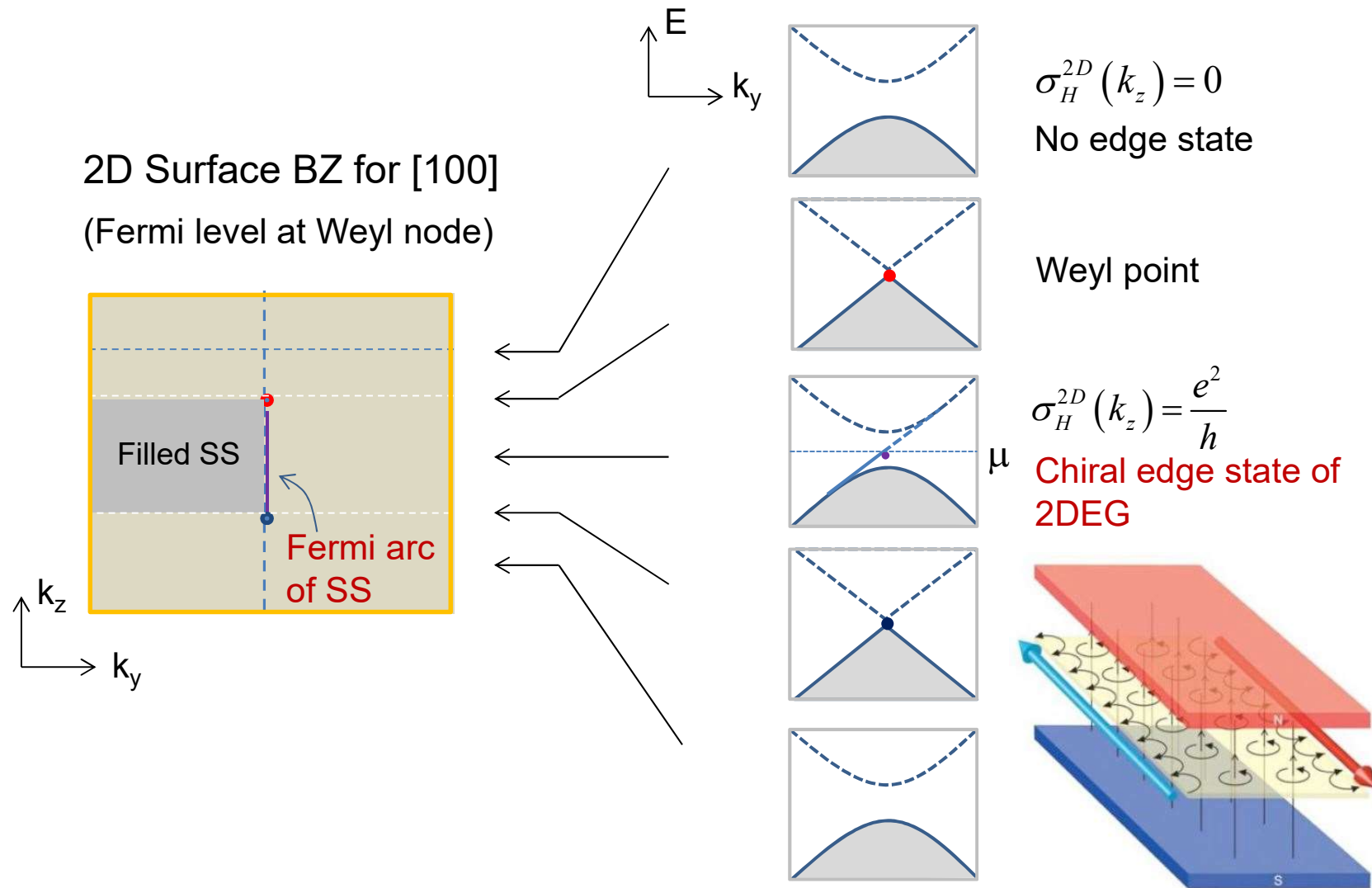
Topological quantum properties of chiral crystals

Chiral crystals are materials with a lattice structure that has a well-defined handedness due to the lack of inversion, mirror or other roto-inversion symmetries. Although it has been shown that the presence of crystalline symmetries can protect topological band crossings, the topological electronic properties of chiral crystals remain largely uncharacterized. Here we show that Kramers-Weyl fermions are a universal topological electronic property of all non-magnetic chiral crystals with spin-orbit coupling and are guaranteed by structural chirality, lattice translation and time-reversal symmetry. Unlike conventional Weyl fermions, they appear at time-reversal-invariant momenta. We identify representative chiral materials in 33 of the 65 chiral space groups in which Kramers-Weyl fermions are relevant to the low-energy physics. We determine that all point-like nodal degeneracies in non-magnetic chiral crystals with relevant spin-orbit coupling carry non-trivial Chern numbers. Kramers-Weyl materials can exhibit a monopole-like electron spin texture and topologically non-trivial bulk Fermi surfaces over an unusually large energy window.

Kramers-Weyl point
with large Fermi arcs



Surface state and Fermi arc (Wan et al, PRB 2011)



Weyl point and Fermi arc (3D view)

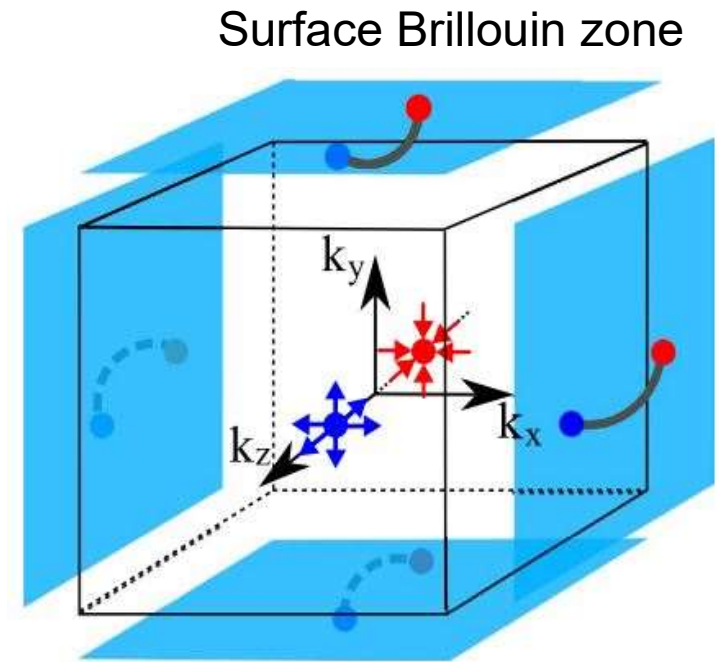
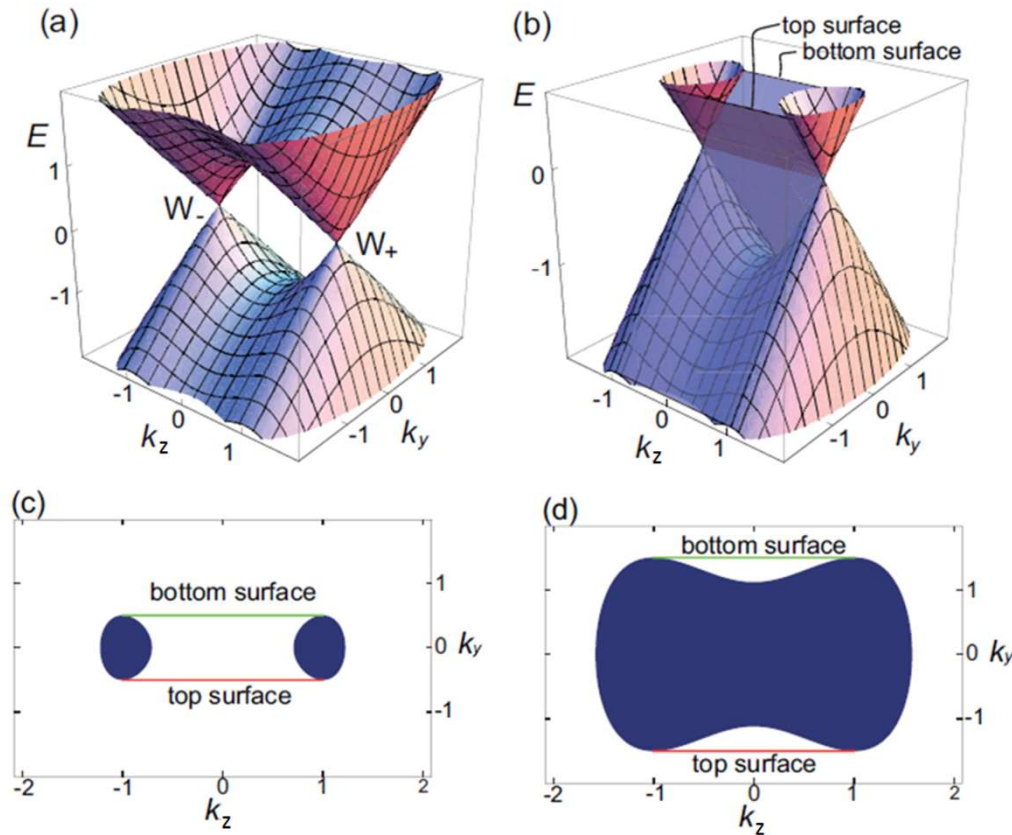
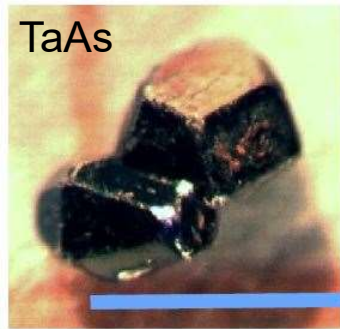


Fig from Kargarian et al, Sci Rep 2015

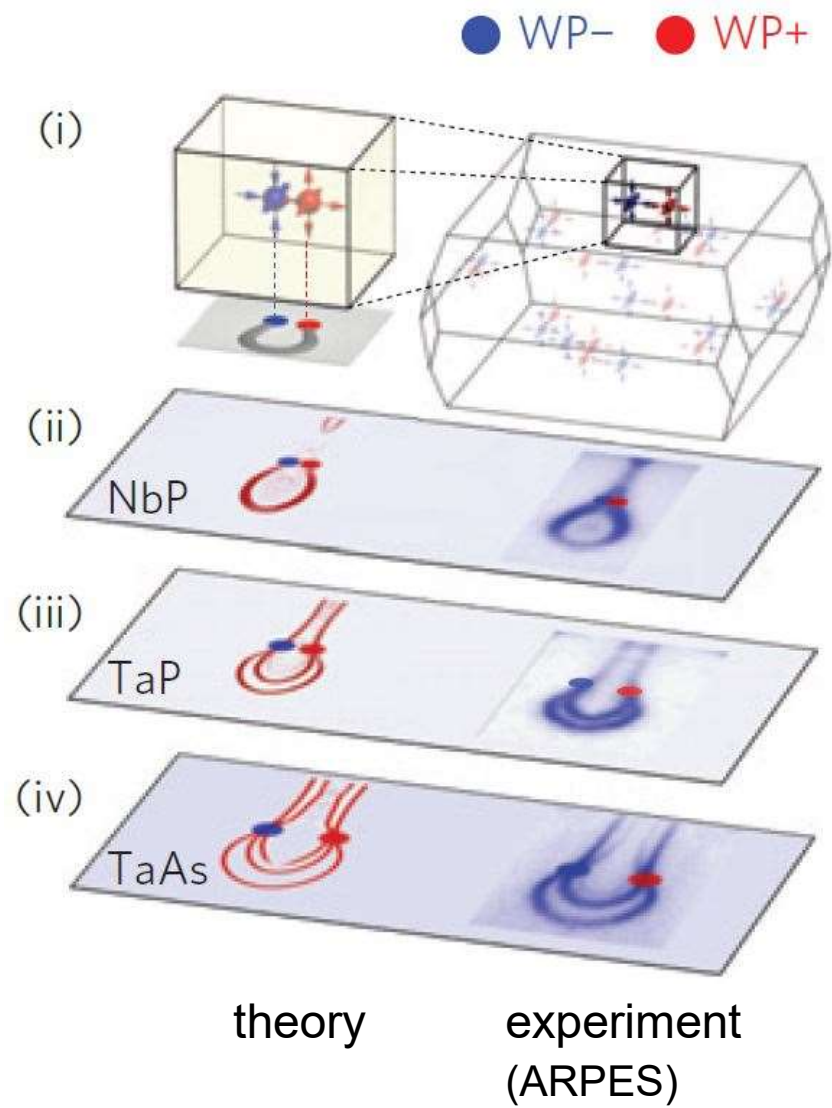
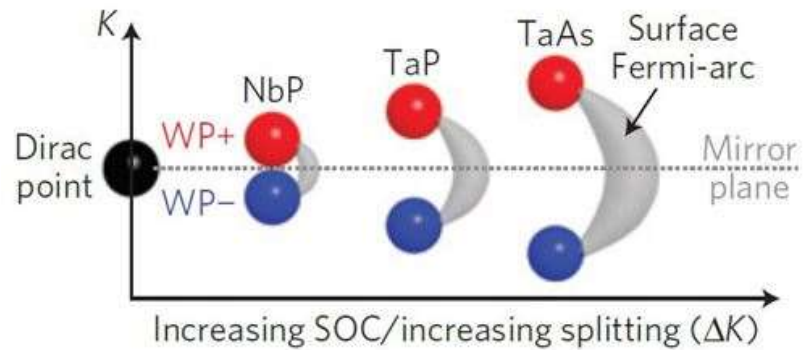
- SS connects to bulk states at Weyl nodes
Haldane 1401.0529

Fermi arc is impossible in pure 2D system

Fermi arc in Transition metal monopnictide

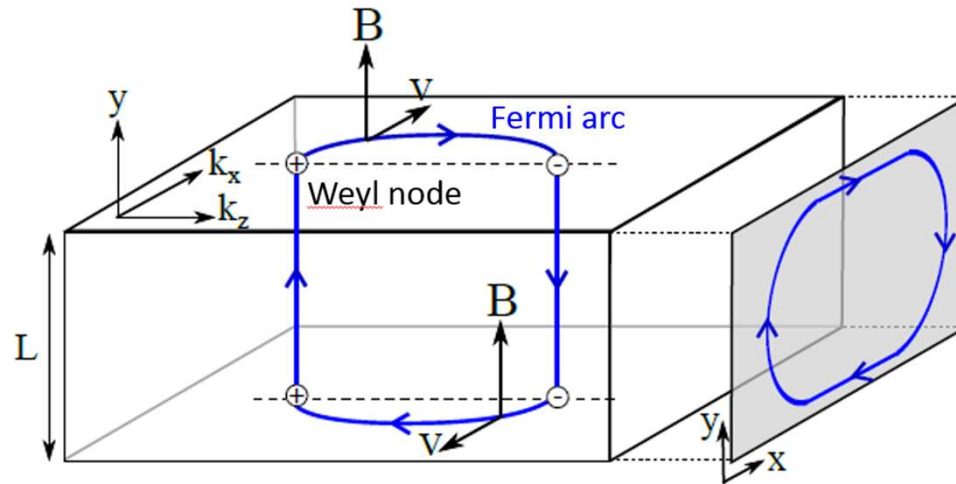
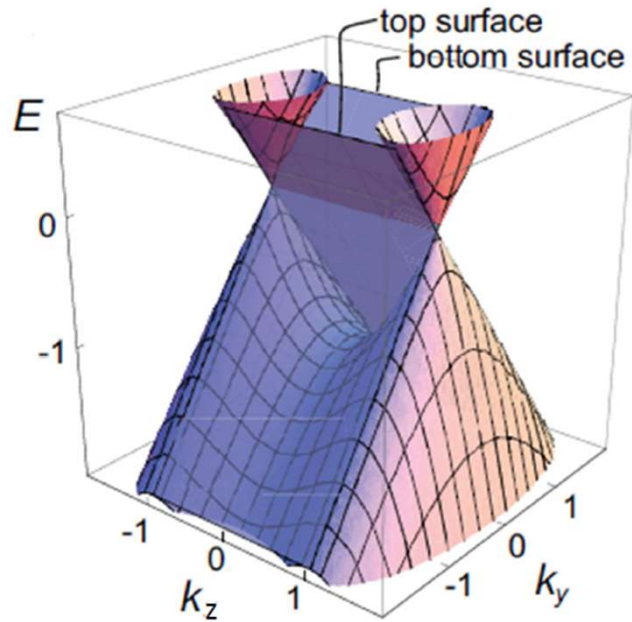


0.5 mm



Z.K. Liu et al, Nature Material 2015

Weyl orbit



$$T = 2t_{arc} + 2t_{bulk}.$$

$$\varepsilon_n = (n + \delta) \frac{h}{T}, \quad n = 0, 1, 2, \dots$$

➔ De Haas-van Alphen oscillation

Potter, Kimchi, and Vishnawath, Nat Comm 2014