

Superconductivity

- Introduction
- Thermal properties
- Magnetic properties
- London theory of the Meissner effect
- Microscopic (BCS) theory
- Flux quantization
- Quantum tunneling

Dept of Phys

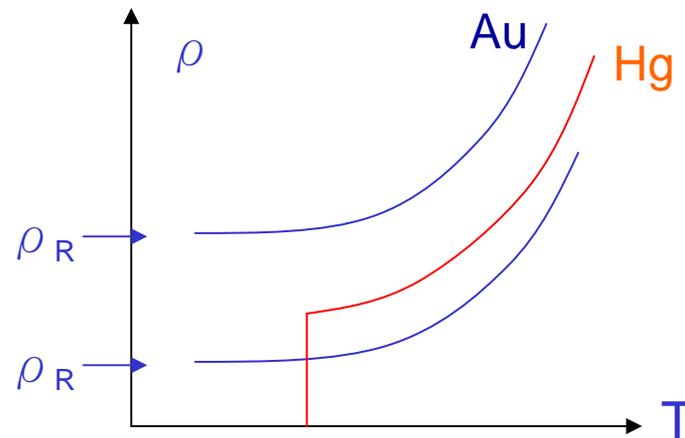


M.C. Chang

A brief history of low temperature (Ref: 絕對零度的探索)

- 1800 Charles and Gay-Lusac (from P - T relationship) proposed that the lowest temperature is -273 C ($= 0\text{ K}$)
- 1877 Cailletet and Pictet liquified Oxygen (-183 C or 90 K)
- soon after, Nitrogen (77 K) is liquified
- 1898 Dewar liquified Hydrogen (20 K)
- 1908 Onnes liquified Helium (4.2 K)

- 1911 Onnes measured the resistance of metal at such a low T . To remove residual resistance, he chose mercury. Near 4 K , the resistance drops to 0.



G. Amontons
1700



KNOWN SUPERCONDUCTIVE ELEMENTS

■ BLUE = AT AMBIENT PRESSURE
■ GREEN = ONLY UNDER HIGH PRESSURE

	IA												0						
1	1	H											2	He					
2		3	4											5	6	7	8	9	10
	IIA	Li	Be											B	C	N	O	F	Ne
3		11	12											13	14	15	16	17	18
		Na	Mg	III B	IV B	V B	VI B	VII B	VIII B	— VII —	IB	II B	Al	Si	P	S	Cl	Ar	
4		19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36
		K	Ca	Sc	Ti	Y	Cr	Mn	Fe	Co	Ni	Cu	Zn	Ga	Ge	As	Se	Br	Kr
5		37	38	39	40	41	42	43	44	45	46	47	48	49	50	51	52	53	54
		Rb	Sr	Y	Zr	Nb	Mo	Tc	Ru	Rh	Pd	Ag	Cd	In	Sn	Sb	Te	I	Xe
6		55	56	57	72	73	74	75	76	77	78	79	80	81	82	83	84	85	86
		Cs	Ba	*La	Hf	Ta	W	Re	Os	Ir	Pt	Au	Hg	Tl	Pb	Bi	Po	At	Rn
7		87	88	89	104	105	106	107	108	109	110	111	112						
		Fr	Ra	+Ac	Rf	Ha	106	107	108	109	110	111	112						

SUPERCONDUCTORS.ORG

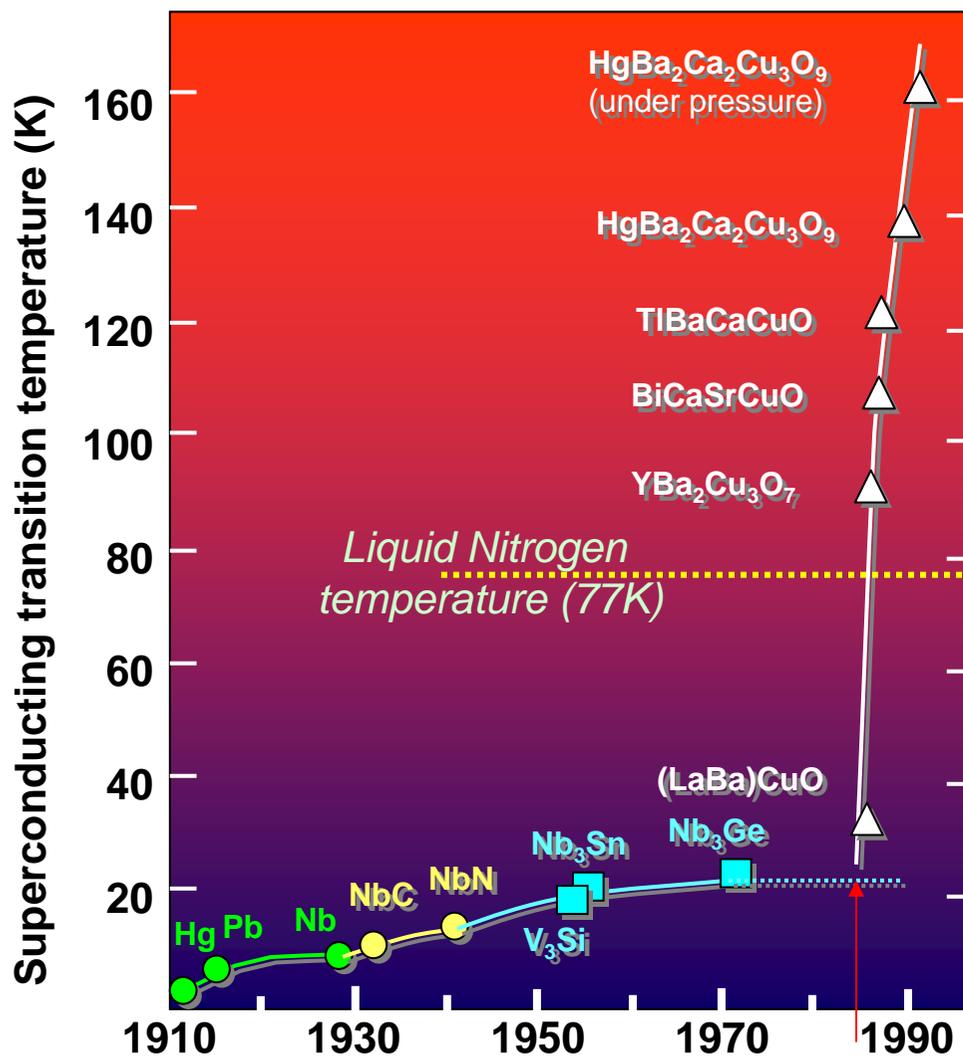
* Lanthanide Series

58	59	60	61	62	63	64	65	66	67	68	69	70	71
Ce	Pr	Nd	Pm	Sm	Eu	Gd	Tb	Dy	Ho	Er	Tm	Yb	Lu
90	91	92	93	94	95	96	97	98	99	100	101	102	103
Th	Pa	U	Np	Pu	Am	Cm	Bk	Cf	Es	Fm	Md	No	Lr

+ Actinide Series

Tc's given are for bulk, except for Palladium, which has been irradiated with He+ ions, Chromium as a thin film, and Platinum as a compacted powder

Superconductivity in alloys and oxides



From Cywinski's lecture note

Bednorz
Muller
1987



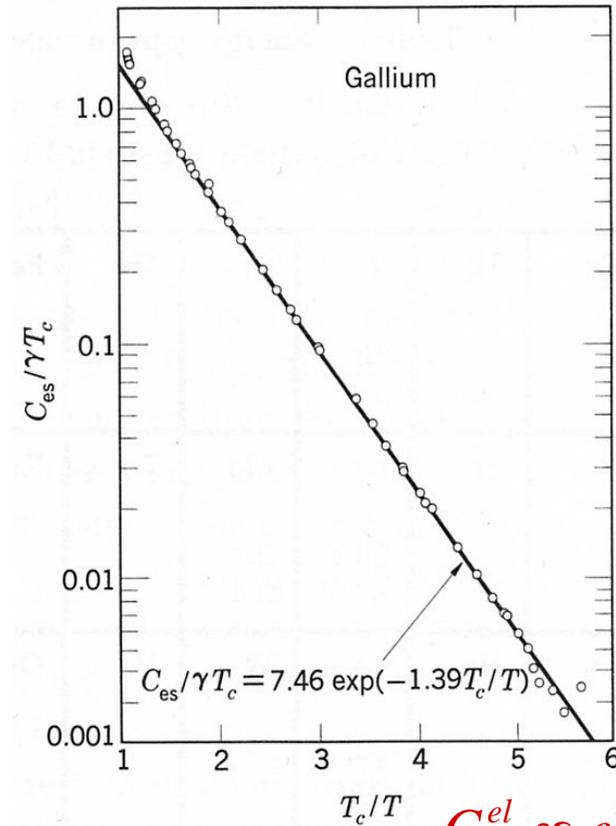
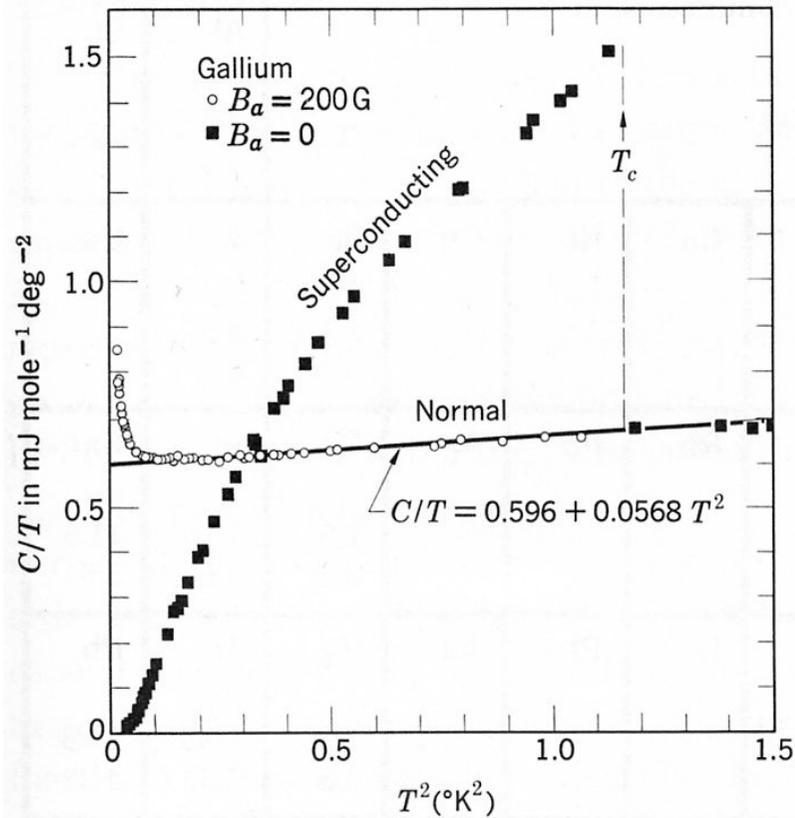
Applications of superconductor

- powerful magnet
 - MRI, LHC...
- magnetic levitation
- SQUID (超導量子干渉儀)
 - detect tiny magnetic field
- quantum bits
- lossless powerline
- ...



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Thermal properties of SC: specific heat



For different superconductors,

$$\frac{C_S - C_N}{C_N} \sim 1.43 \text{ at } T_c$$

The exponential dependence with T is called “activation” behavior and implies the existence of an energy gap above Fermi surface.

$$\Delta \sim 0.1-1 \text{ meV } (10^{-4} \sim 10^{-5} E_F)$$

- Connection between energy gap and T_c

MEASURED VALUES^a OF $2\Delta(0)/k_B T_c$

ELEMENT	$2\Delta(0)/k_B T_c$
Al	3.4
Cd	3.2
Hg (α)	4.6
In	3.6
Nb	3.8
Pb	4.3
Sn	3.5
Ta	3.6
Tl	3.6
V	3.4
Zn	3.2

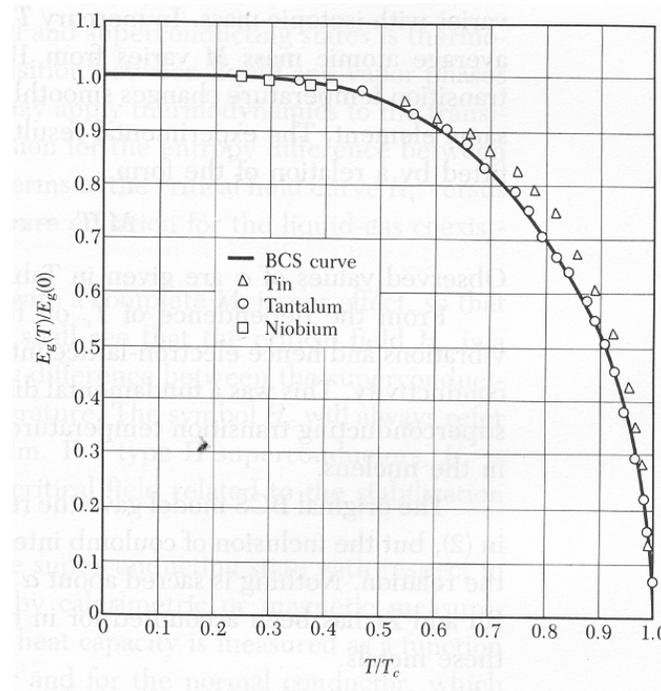
^a $\Delta(0)$ is taken from tunneling experiments. Note that the BCS value for this ratio is 3.53. Most of the values listed have an uncertainty of ± 0.1 .

Δ 's scale with different T_c 's

$$2\Delta(0) \sim 3.5 k_B T_c$$

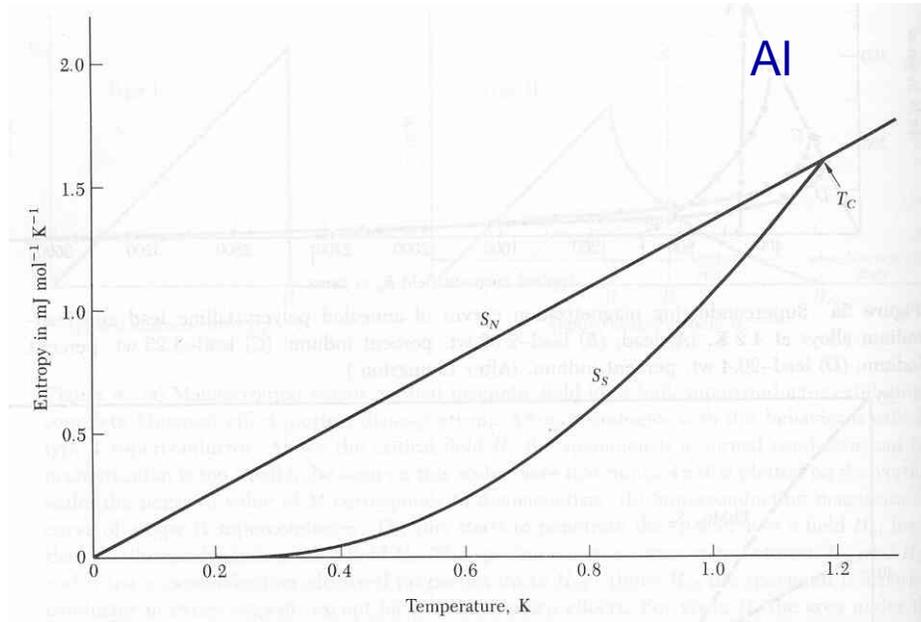
- Temperature dependence of Δ (obtained from Tunneling)

Universal behavior of $\Delta(T)$



$$\frac{\Delta(T)}{\Delta(0)} = 1.74 \left(1 - \frac{T}{T_c} \right)^{1/2} \quad \text{for } T \approx T_c$$

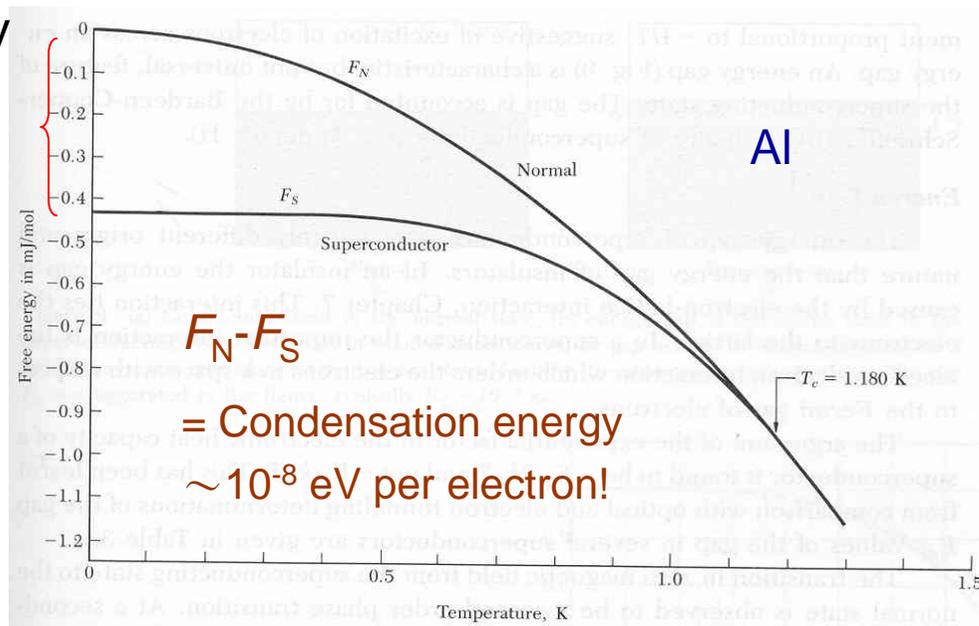
- Entropy



$$C = T \left(\frac{\partial S}{\partial T} \right)_H$$

Less entropy in SC state:
more ordering

- free energy



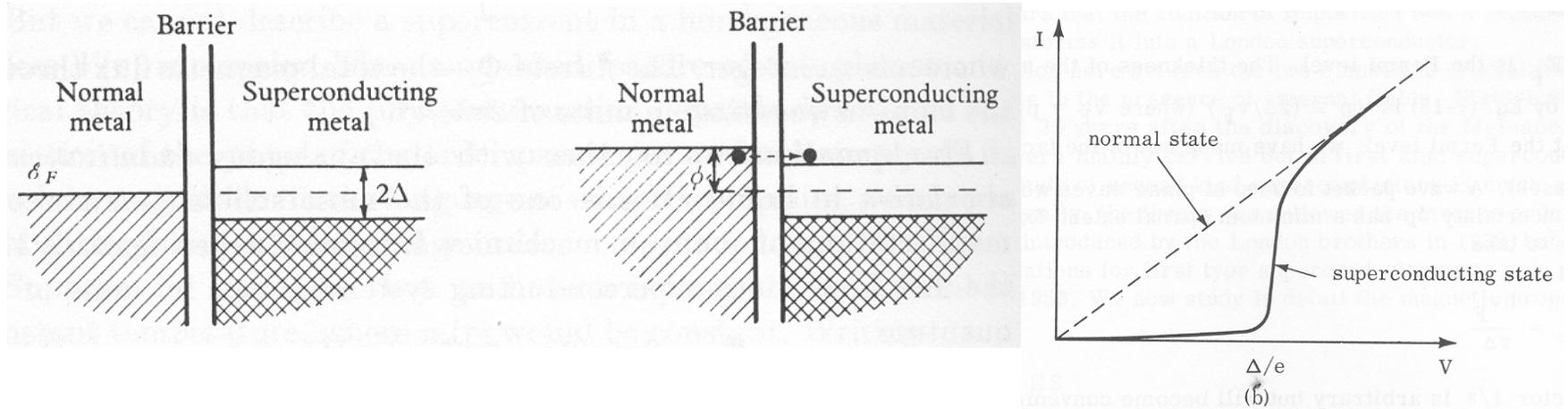
$$S = - \left(\frac{\partial F}{\partial T} \right)_H$$

$$\frac{C}{T} = \left(\frac{\partial S}{\partial T} \right)_H = - \left(\frac{\partial^2 F}{\partial T^2} \right)_H$$

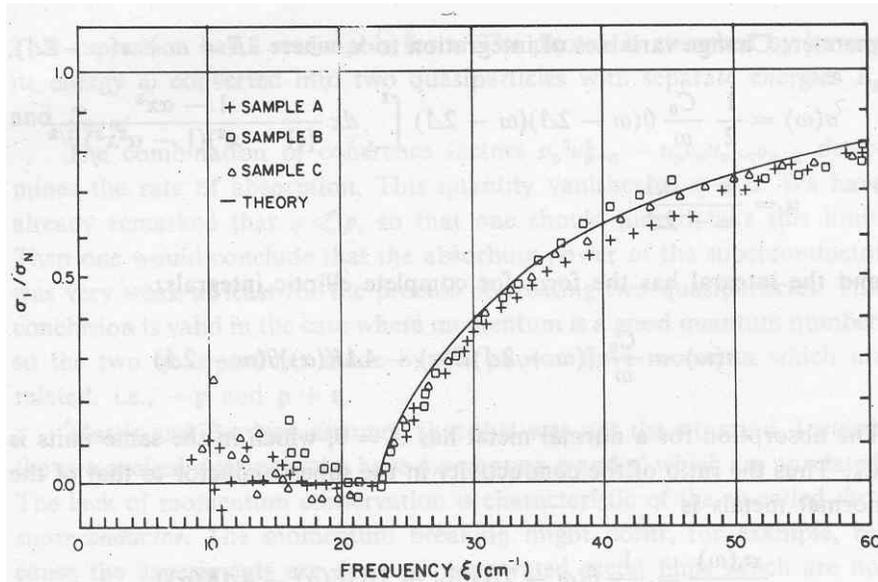
2nd order phase
transition

More evidences of energy gap

- Electron tunneling



- EM wave absorption



2Δ suggests excitations created in “e-h” pairs

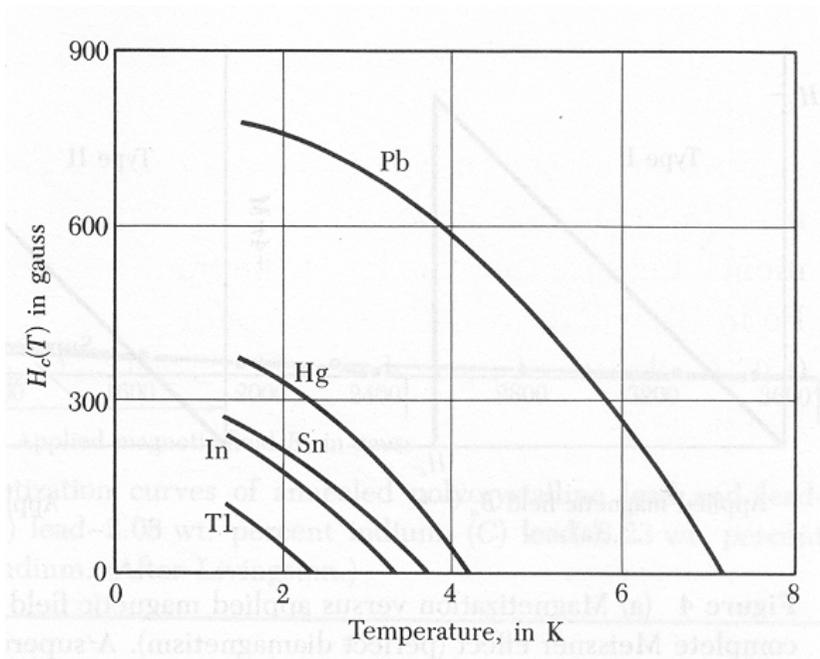
$$\nu = \frac{2\Delta}{h} = 480 \text{ GHz (microwave)}$$

Magnetic property of the superconductor

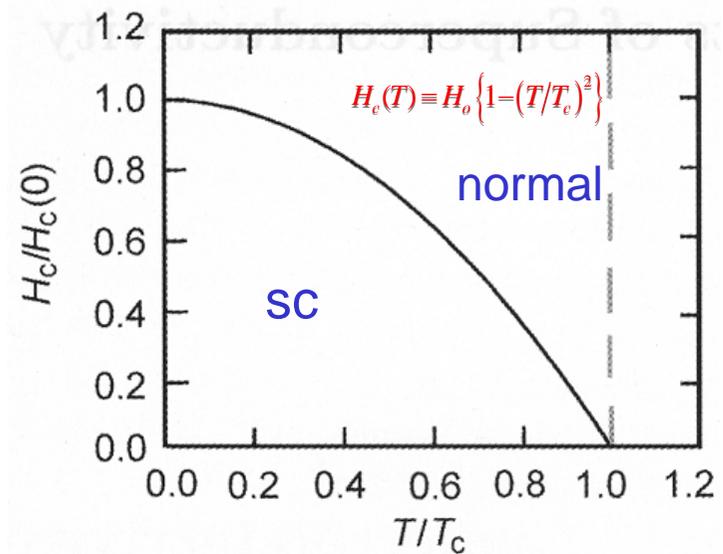
- Superconductivity is destroyed by a strong magnetic field.

H_c for metal is of the order of 0.1 Tesla or less.

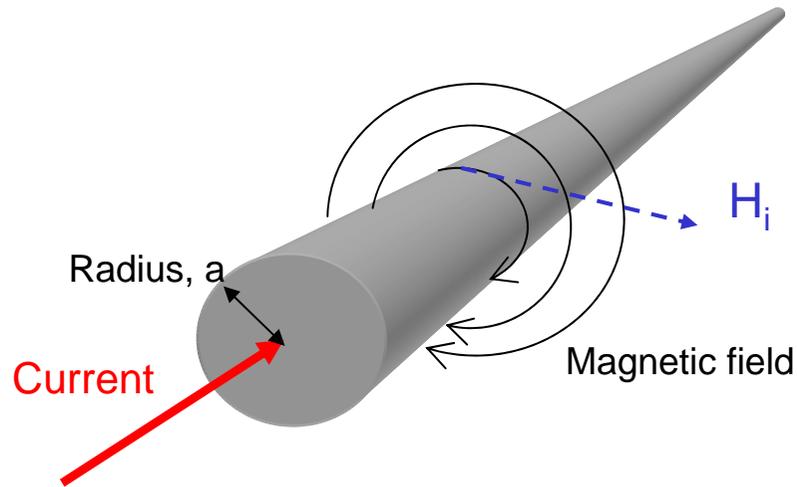
- Temperature dependence of $H_c(T)$



All curves can be collapsed onto a similar curve after re-scaling.



Critical currents (no applied field)



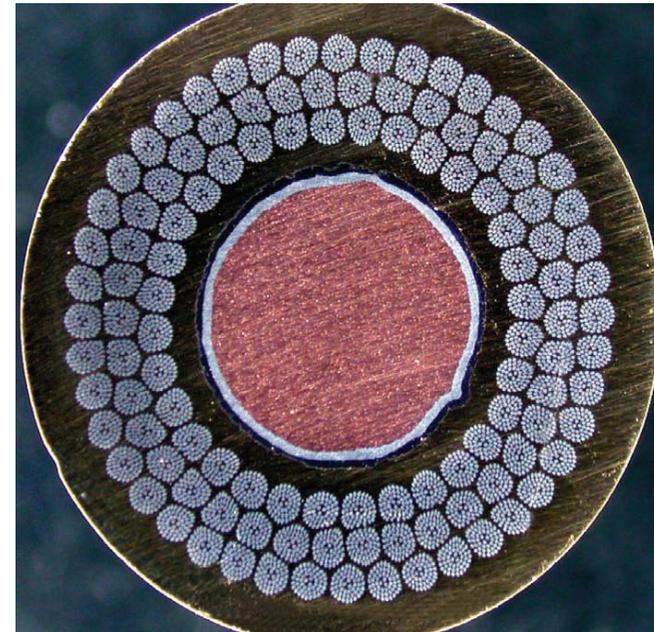
$$\oint \vec{H} \cdot d\vec{\ell} = \frac{4\pi}{c} i \quad \text{so} \quad i_c = \frac{ca}{2} H_c$$

The critical current density of a long thin wire is therefore

$$j_c = \frac{cH_c}{2\pi a} \quad (\text{thinner wire has larger } J_c)$$

$$j_c \sim 10^8 \text{ A/cm}^2 \text{ for } H_c = 500 \text{ Oe, } a = 500 \text{ \AA}$$

- J_c has a similar temperature dependence as H_c , and T_c is similarly lowered as J increases.

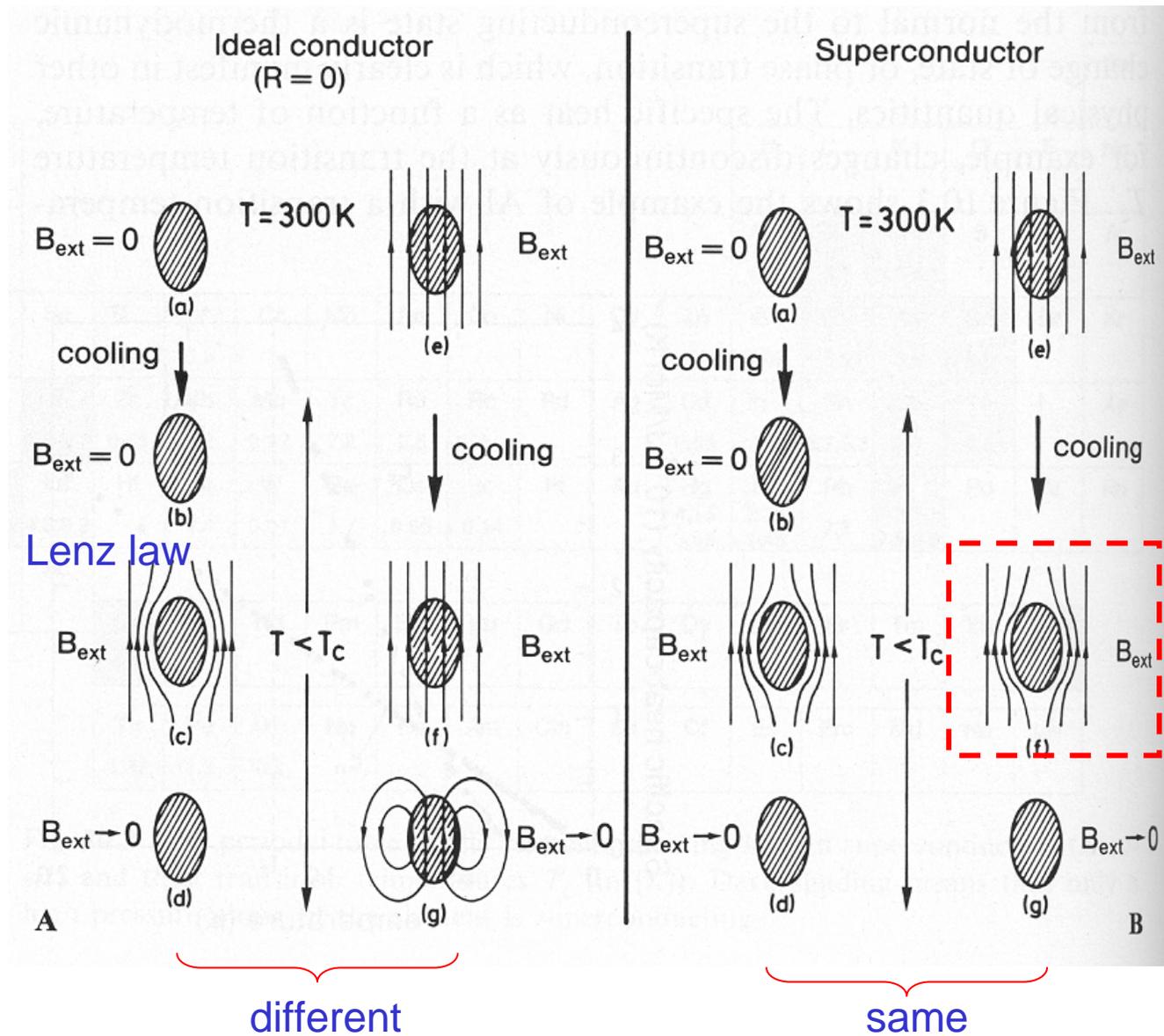


Cross-section through a niobium–tin cable

Phys World, Apr 2011

Meissner effect (Meissner and Ochsenfeld, 1933)

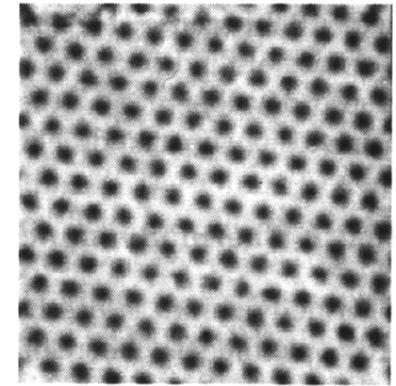
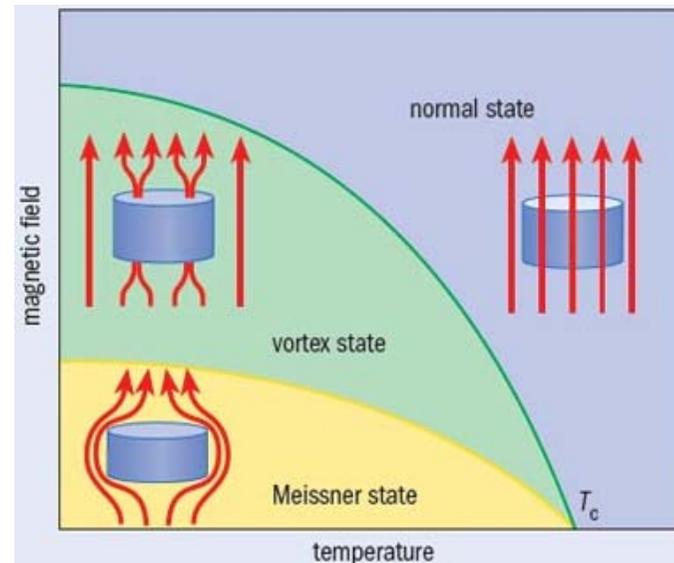
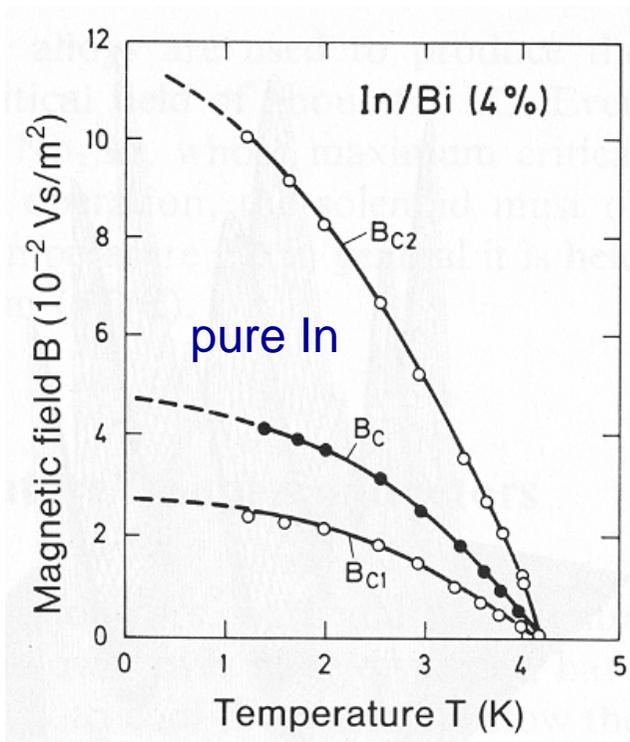
A SC is more than a perfect conductor



Superconducting alloy: type II SC

partial exclusion and remains superconducting at high B (1935)

(also called intermediate/mixed/vortex/Shubnikov state)

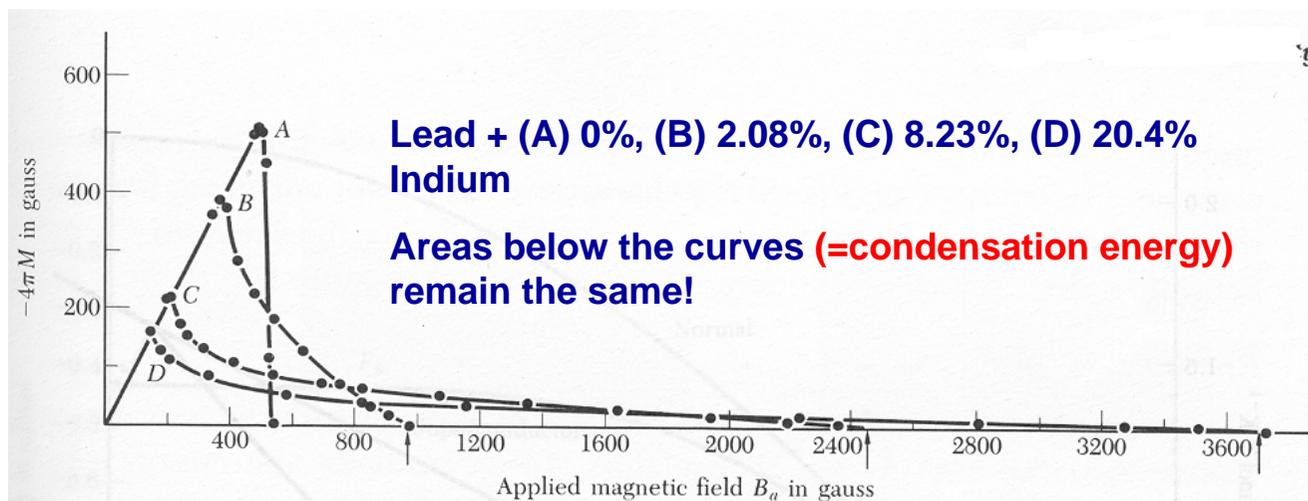
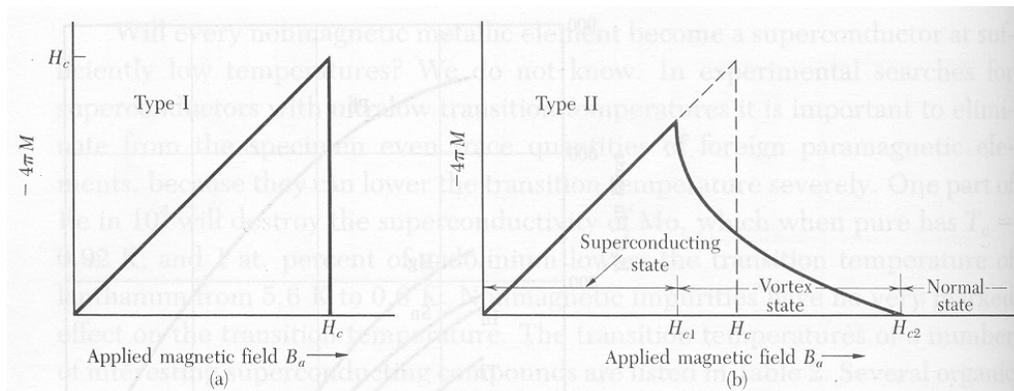
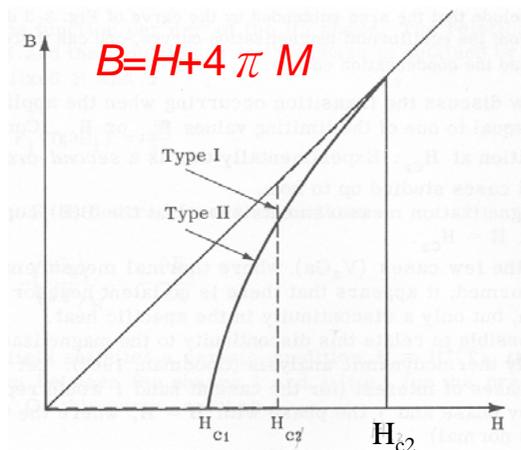


6000 Å

STM image
NbSe₂, 1T, 1.8K

- H_{C2} is of the order of 10~100 Tesla (called hard, or type II, superconductor)

Comparison between type I and type II superconductors



Condensation energy (for type I)

(\vec{H} is \vec{B}_a in Kittel)

$$dF = -\vec{M} \cdot d\vec{H}$$

$$\text{For a SC, } dF_S = \frac{1}{4\pi} H dH$$

$$\rightarrow F_S(H) - F_S(0) = \frac{H^2}{8\pi}$$

$$F_N(H_c) = F_S(H_c)$$

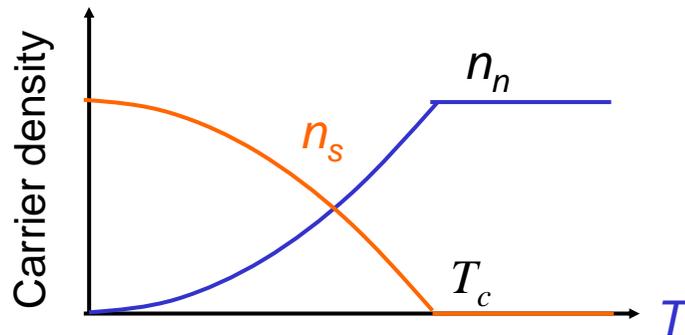
$$F_N(H_c) = F_N(0) \text{ for nonmagnetic material}$$

$$\therefore \Delta F = F_N(0) - F_S(0) = \frac{H_c^2}{8\pi}$$

(Magnetic energy density)

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London theory of the Meissner effect (Fritz London and Heinz London, 1934)



Two-fluid model:

$$n_s + n_n = n = \text{constant}$$

• Superfluid density n_s

$$\sigma = \infty$$

• Normal fluid density n_n

$$\sigma_n = \frac{n_n e^2 \tau}{m}$$

Assume

$$(1) \quad \frac{d\vec{J}_s}{dt} = \frac{n_s e^2 \vec{E}}{m}$$

like free charges

$$(2) \quad \vec{J}_n = \sigma_n \vec{E}$$

where

$$\vec{J}_s = -en_s \vec{v}_s$$

$$\vec{J}_n = -en_n \vec{v}_n$$

London proposed

$$\text{Eq.(1)} + \vec{\nabla} \times \vec{E} = -\frac{1}{c} \frac{\partial \vec{B}}{\partial t}$$

$$\frac{d}{dt} (\vec{\nabla} \times \vec{J}_s) = -\frac{n_s e^2}{mc} \frac{\partial \vec{B}}{\partial t}$$

$$\vec{\nabla} \times \vec{J}_s = -\frac{n_s e^2}{mc} \vec{B}$$

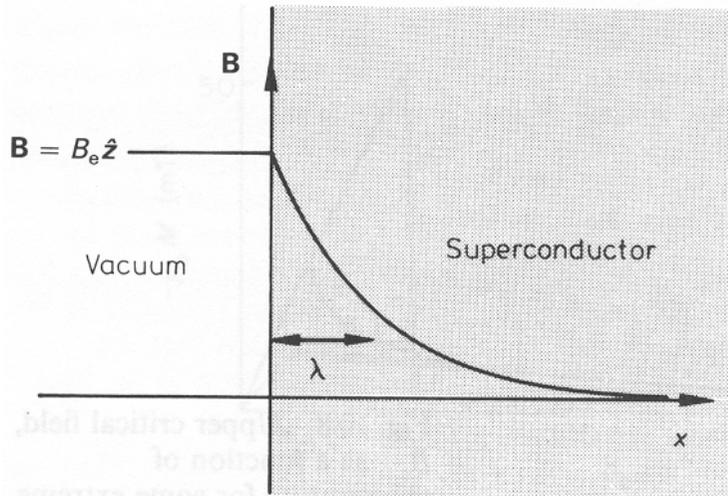
$$\vec{J}_s = -\frac{n_s e^2}{mc} \vec{A} + \nabla \phi$$

It can be shown that $\nabla \phi = 0$ for simply connected sample (See Schrieffer)

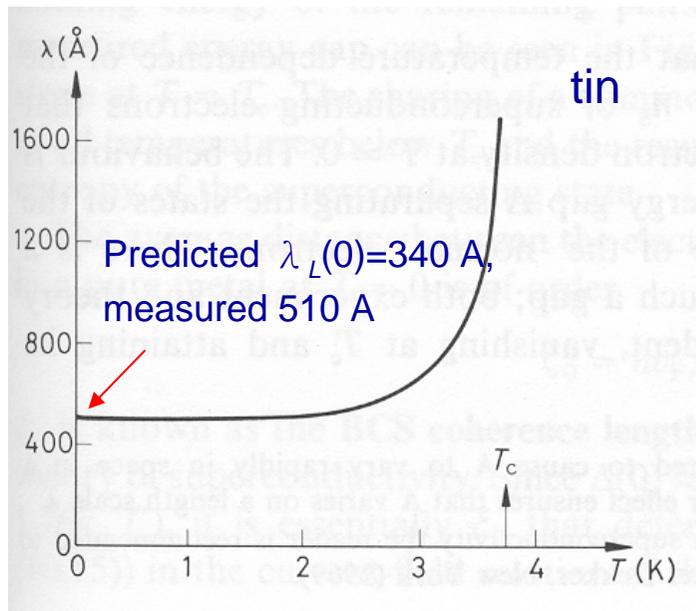
use $\vec{\nabla} \times \vec{B} = \frac{4\pi}{c} \vec{J}_s$ and $\vec{\nabla} \times (\vec{\nabla} \times \vec{v}) = \vec{\nabla} (\vec{\nabla} \cdot \vec{v}) - \nabla^2 \vec{v}$

$$\nabla^2 \vec{B} = \frac{4\pi n_s e^2}{mc^2} \vec{B} \equiv \frac{\vec{B}}{\lambda_L^2}$$

- Penetration length λ_L



- Temperature dependence of λ_L



Outside the SC, $\mathbf{B} = B(x) \hat{z}$

$$\lambda_L^2 \frac{d^2 B}{dx^2} = -B$$

$$\rightarrow B(x) = B_0 e^{-x/\lambda_L} \quad (\text{expulsion of magnetic field})$$

$$\lambda_L = \sqrt{\frac{mc^2}{4\pi n_s e^2}} \approx 170 \text{ \AA} \quad \text{if } n_s = 10^{23} / \text{cm}^3$$

$$\vec{\nabla} \times \vec{B} = \frac{4\pi}{c} \vec{J}_s$$

$$\therefore J_{sy} = -\frac{c}{4\pi} \frac{dB}{dx} = \frac{cB_0}{4\pi\lambda_L} e^{-x/\lambda_L} \quad \text{also decays}$$

- Higher T , smaller n_s

$$\lambda(T) = \frac{\lambda(0)}{\left[1 - (T/T_c)^4\right]^{1/2}}$$

Coherence length ξ_0 (Pippard, 1939)

- In fact, n_s cannot remain uniform near a surface. The length it takes for n_s to drop from full value to 0 is called ξ_0
- Microscopically it's related to the range of the Cooper pair.
- The pair wave function (with range ξ_0) is a superposition of one-electron states with energies within Δ of E_F (A+M, p.742).

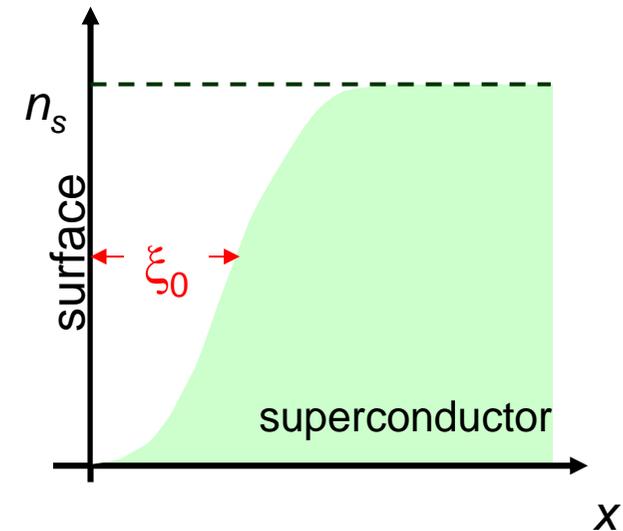
Energy uncertainty
of a Cooper pair

$$\frac{p\Delta p}{m} \approx \Delta$$

- Therefore, the spatial range of the variation of n_s

$$\xi_0 \approx \frac{\hbar}{\Delta p} = \frac{\hbar v_F}{\Delta} \leftrightarrow \frac{\hbar v_F}{\pi \Delta} \text{ from BCS theory}$$

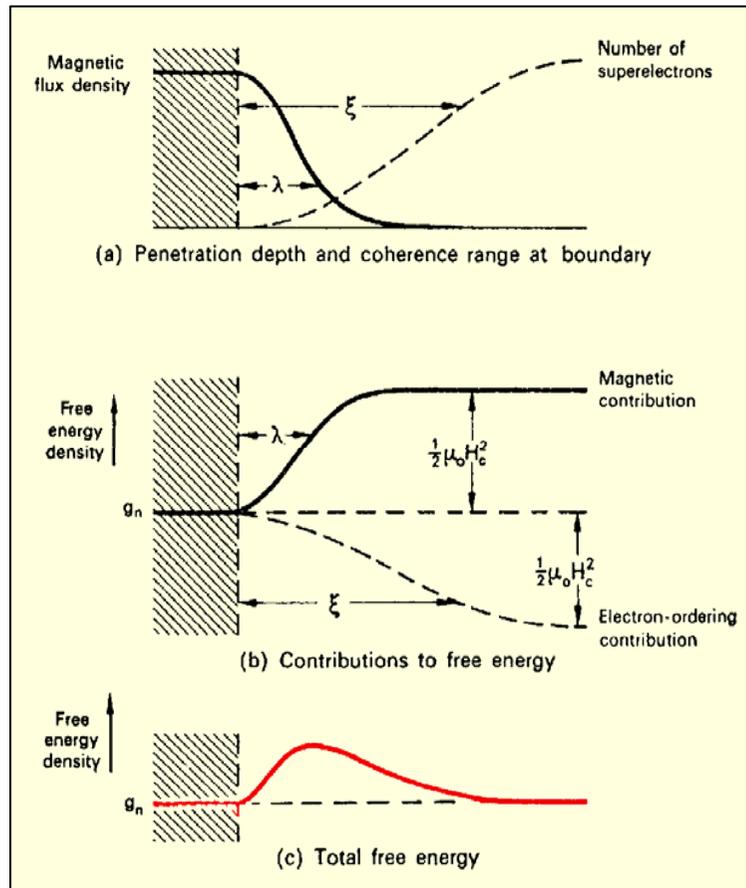
$$\xi_0 \sim 1 \mu\text{m} \gg \lambda \text{ for type I SC}$$



Penetration depth, correlation length, and surface energy

Type I superconductivity

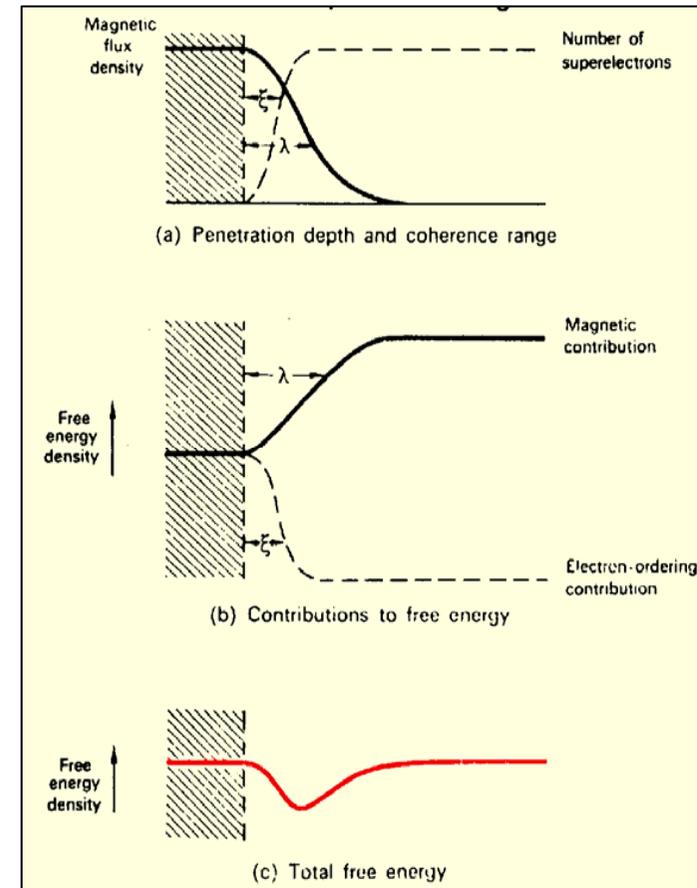
- $\xi_0 > \lambda$, surface energy is positive



- smaller λ , cost more energy to expel the magnetic field.
- When $\xi_0 \gg \lambda$ (type I), there is a net positive surface energy. Difficult to create an interface.

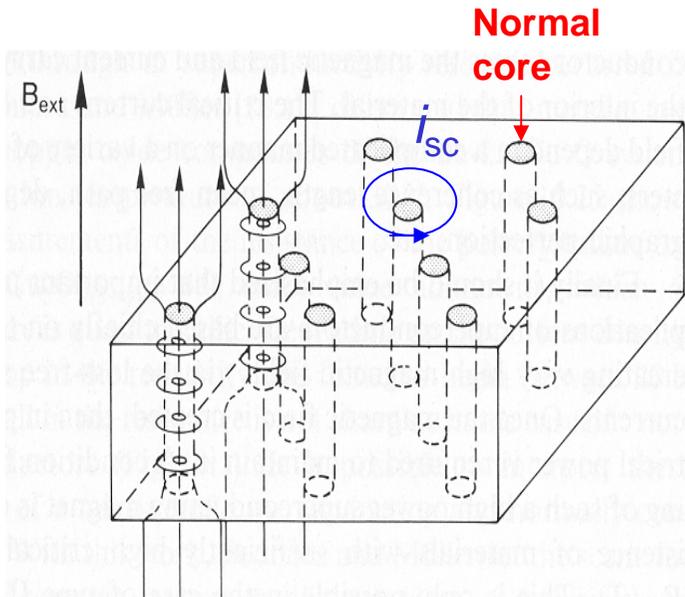
Type II superconductivity

- $\xi_0 < \lambda$, surface energy is negative

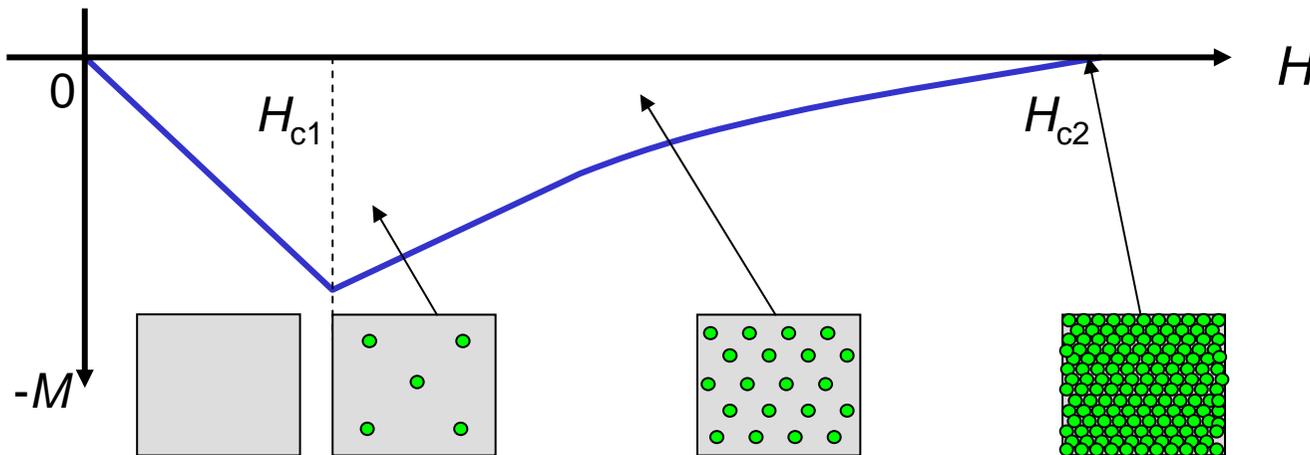


- smaller ξ_0 , get more “negative” condensation energy.
- When $\xi_0 \ll \lambda$ (type II), the surface energy is negative. Interface may spontaneously appear.

Vortex state of type II superconductor (Abrikosov, 1957)

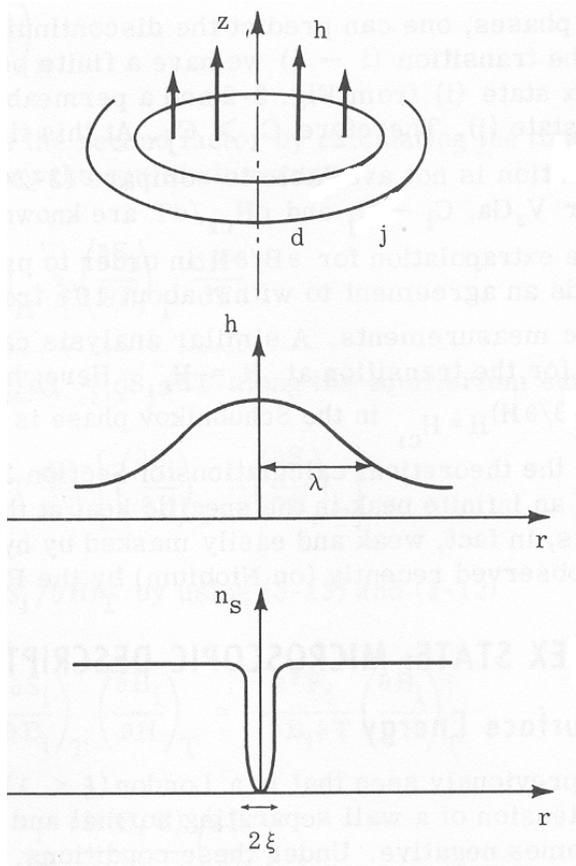


- the magnetic flux ϕ in a vortex is always **quantized** (discussed later).
- the vortices repel each other slightly.
- the vortices prefer to form a triangular lattice (**Abrikosov lattice**).
- the vortices can move and dissipate energy (unless pinned by impurity \leftarrow **Flux pinning**)



From Cywinski's lecture note

Estimation of H_{c1} and H_{c2} (type II)



- Near H_{c1} , there begins with a single vortex with flux quantum ϕ_0 , therefore

$$\pi\lambda^2 H_{c1} \approx \phi_0 \rightarrow H_{c1} \approx \frac{\phi_0}{\pi\lambda^2}$$

- Near H_{c2} , vortices are as closely packed as the coherence length allows, therefore

$$N\pi\xi_0^2 H_{c2} \approx N\phi_0 \rightarrow H_{c2} \approx \frac{\phi_0}{\pi\xi_0^2}$$

Therefore, $\frac{H_{c2}}{H_{c1}} \approx \left(\frac{\lambda}{\xi_0}\right)^2$

Typical values, for Nb_3Sn ,

$$\xi_0 \sim 34 \text{ \AA}, \lambda_L \sim 1600 \text{ \AA}$$

Origin of superconductivity?

- Metal X can (cannot) superconduct because its **atoms** can (cannot) superconduct?

Neither Au nor Bi is superconductor, but alloy Au_2Bi is!

White tin can, grey tin cannot! (the only difference is **lattice structure**)

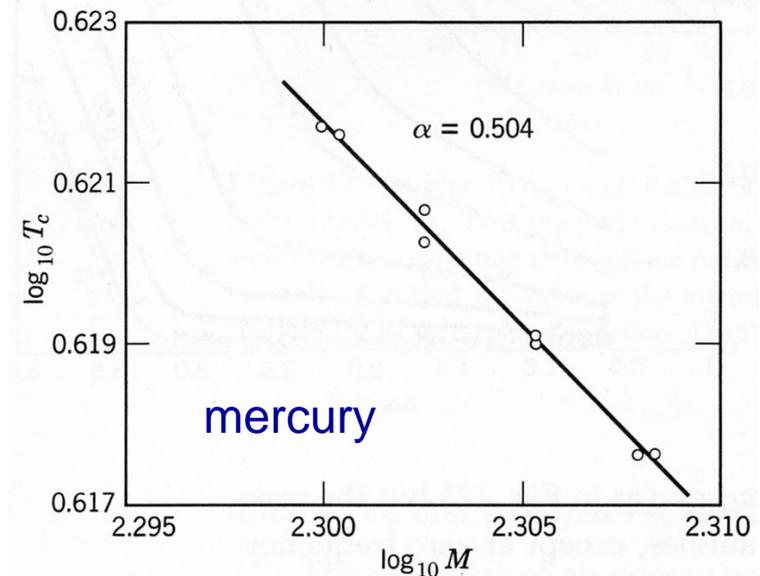
- **good** normal conductors (Cu, Ag, Au) are bad superconductor;
bad normal conductors are good superconductors, why?
- What leads to the **superconducting gap**?
- Failed attempts: polaron, CDW...

- **Isotope effect** (1950):

It is found that $T_c = \text{const} \times M^{-\alpha}$

$\alpha \sim 1/2$ for different materials

\leftrightarrow **lattice vibration?**



Brief history of the theories of superconductors

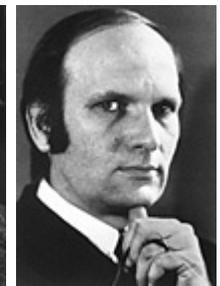
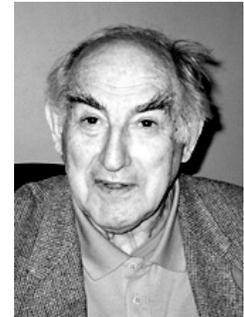
- 1935 London: superconductivity is a quantum phenomenon on a macroscopic scale. There is a “rigid” (due to the energy gap) superconducting wave function Ψ .
- 1950
 - Frohlich: electron-phonon interaction maybe crucial.
 - Reynolds et al, Maxwell: isotope effect
 - Ginzburg-Landau theory: ρ_S can be varied in space. Suggested the connection $\rho_S(\vec{r}) = |\psi(\vec{r})|^2$ and wrote down the eq. for order parameter $\Psi(r)$ (App. I)

- 1956 Cooper pair: attractive interaction between electrons (with the help of crystal vibrations) near the FS forms a bound state.

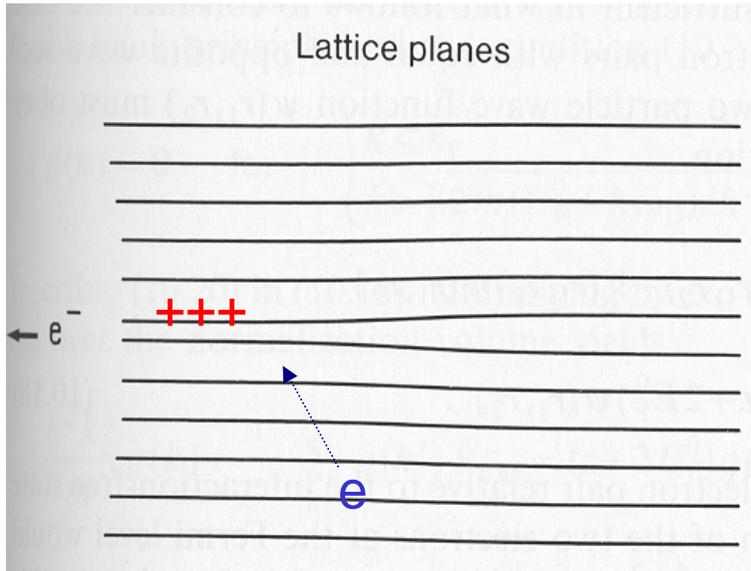
- 1957 Bardeen, Cooper, Schrieffer: BCS theory

Microscopic wave function for the condensation of Cooper pairs.

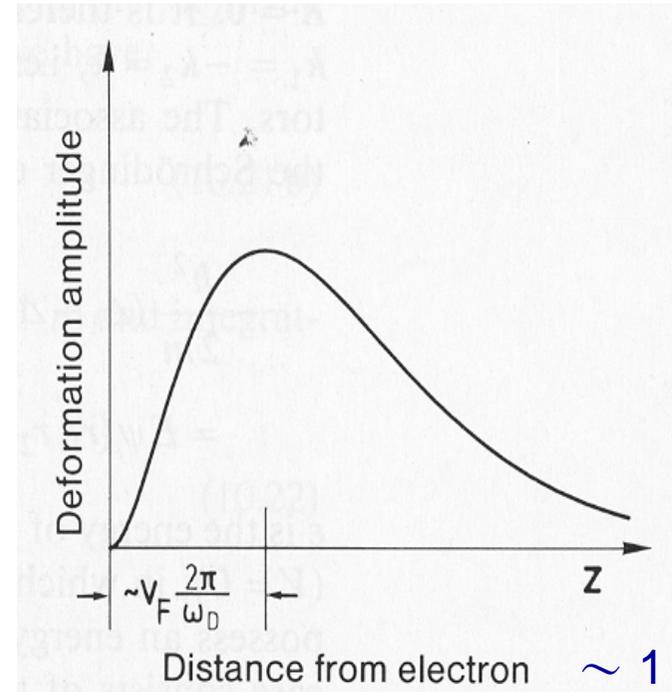
Ref: 1972 Nobel lectures by Bardeen, Cooper, and Schrieffer



Dynamic electron-lattice interaction → Cooper pair



→ Effective attractive interaction between 2 electrons (sometimes called overscreening)



(range of a Cooper pair; coherence length)

Cooper pair, and BCS prediction

- 2 electrons with opposite momenta ($p \uparrow, -p \downarrow$) can form a bound state with binding energy (the spin is opposite by Pauli principle)

$$\Delta(0) = 2\hbar\omega_D e^{-\frac{1}{D(E_F)V_{\text{int}}}}, \quad \text{see App. H}$$

- Fraction of electrons involved $\sim kT_c/E_F \sim 10^{-4}$
 - Average spacing between condensate electrons $\sim 10 \text{ nm}$

$$2\Delta(0) \sim 3.5 k_B T_c$$

- Therefore, within the volume occupied by the Cooper pair, there are approximately $(1 \mu\text{m}/10 \text{ nm})^3 \sim 10^6$ other pairs.

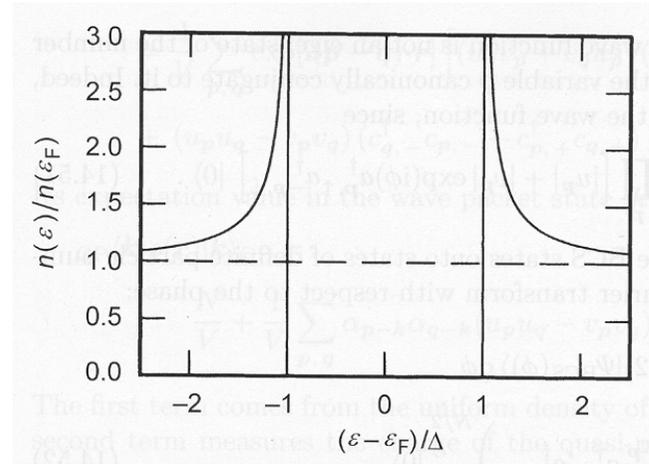
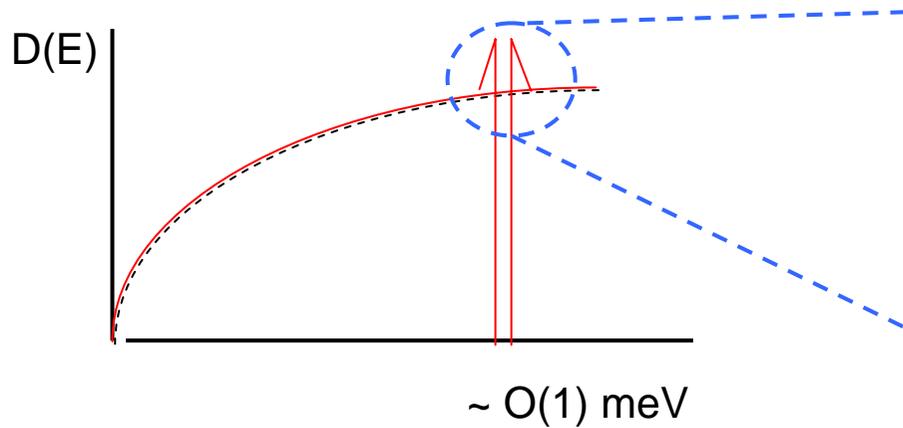
- These pairs (similar to bosons) are highly correlated and form a macroscopic condensate state with (BCS result)

$$k_B T_c = 1.13\hbar\omega_D e^{-\frac{1}{D(E_F)V_{\text{int}}}}$$

$$\hbar\omega_D \leq 500 \text{ K}, \quad D(E_F)V_{\text{int}} \leq 1/3$$

$$\therefore T_c \leq 500e^{-3} = 25 \text{ K} \quad (\sim \text{upper limit of } T_c)$$

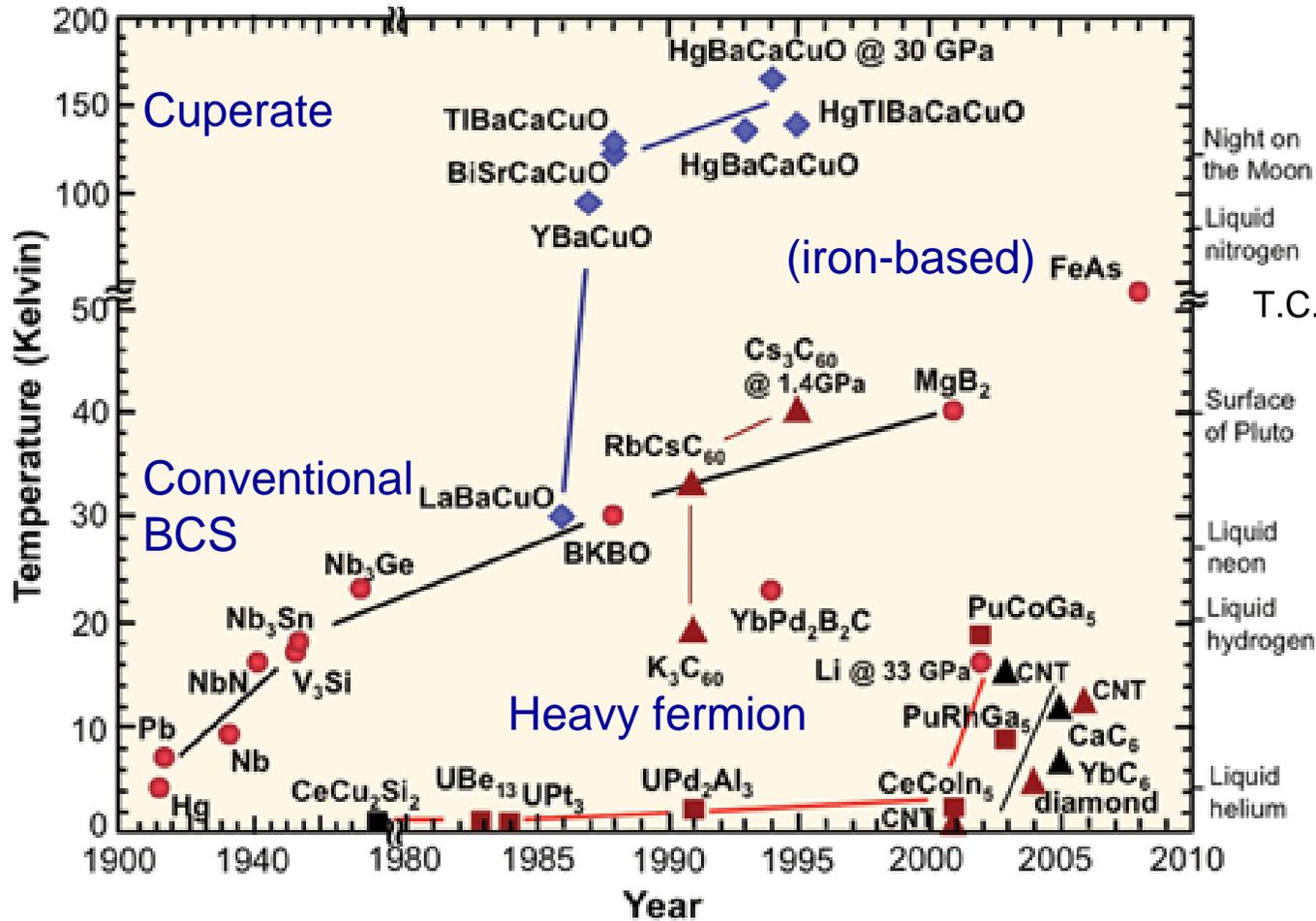
Energy gap and Density of states



- Electrons within kT_C of the FS have their energy lowered by the order of kT_C during the condensation.
- On the average, energy difference (due to SC transition) per electron is

$$k_B T_C \frac{T_C}{T_F} \approx 0.1 \text{ meV} \times \frac{1}{10^4} \approx 10^{-8} \text{ eV}$$

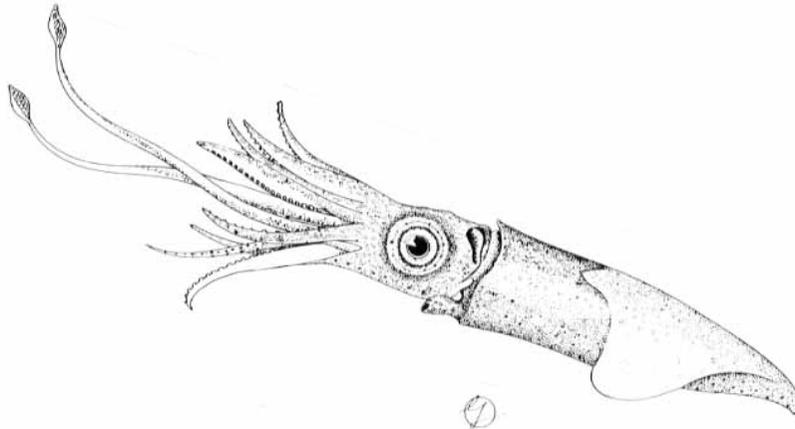
Families of superconductors



F. Steglich 1978

T.C. Ozawa 2008

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- Quantum tunneling (Josephson effect, SQUID)



Flux quantization in a superconducting ring

(F. London 1948 with a factor of 2 error, Byers and Yang, also Brenig, 1961)

- Current density operator $\vec{j} = \frac{q}{2m} \left(\psi^* \frac{\hbar}{i} \nabla \psi - \psi \frac{\hbar}{i} \nabla \psi^* \right), q = -e$

- SC, in the presence of B $\vec{j} = \frac{q^*}{2m^*} \left[\psi^* \left(\frac{\hbar}{i} \nabla - \frac{q^*}{c} \vec{A} \right) \psi + \psi \left(\frac{\hbar}{i} \nabla - \frac{q^*}{c} \vec{A} \right)^* \psi^* \right]$ $q^* = -2e$
 $m^* = 2m$

let $\psi = |\psi| e^{i\phi}$ and assume $|\psi|$ vary slowly with \vec{r}

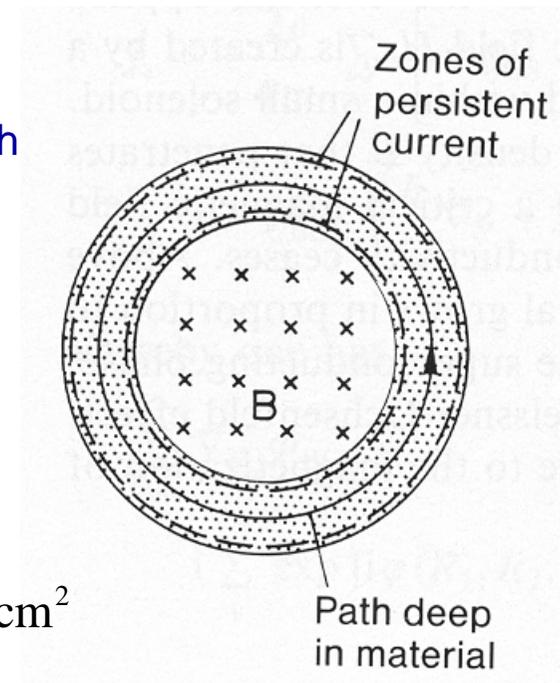
then $j = - \left(\frac{e\hbar}{m} \nabla \phi + \frac{2e^2}{mc} \vec{A} \right) |\psi|^2 \rightarrow$ London eq. with $n_s = 2|\psi|^2$

- Inside a ring $\oint \vec{j} \cdot d\vec{\ell} = 0$

$$\Rightarrow \oint \vec{A} \cdot d\vec{\ell} = - \frac{\hbar c}{2e} \oint \nabla \phi \cdot d\vec{\ell} = - \frac{\hbar c}{2e} \Delta \phi$$

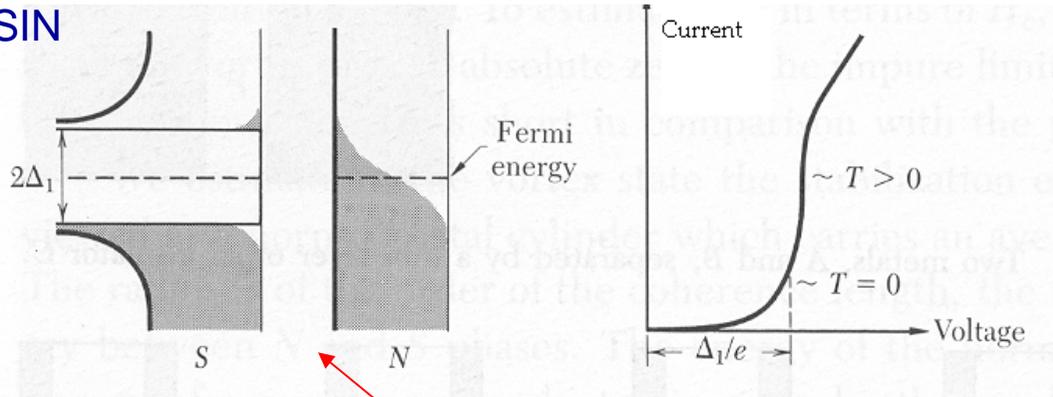
$$\therefore \text{flux } |\Phi| = n \frac{\hbar c}{2e} = n \phi_0, \quad \phi_0 \equiv \frac{\hbar c}{2e} = 2 \times 10^{-7} \text{ gauss-cm}^2$$

- $\phi_0 \sim$ the flux of the Earth's magnetic field through a human red blood cell (~ 7 microns)

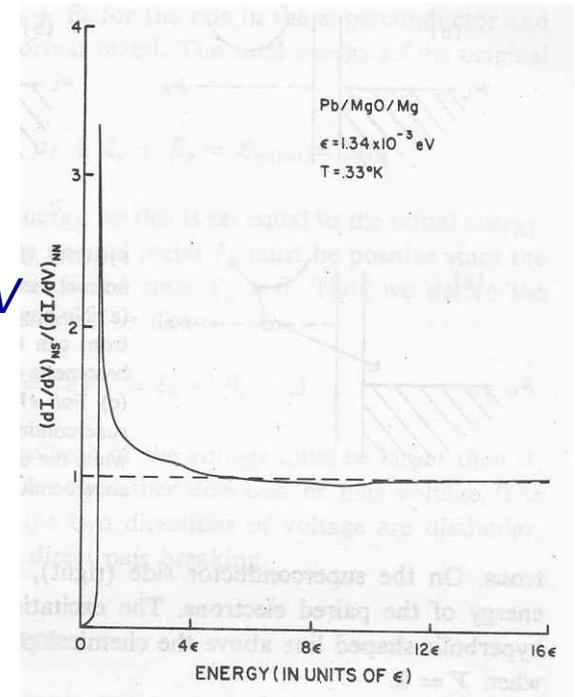


Single particle tunneling (Giaever, 1960)

- SIN

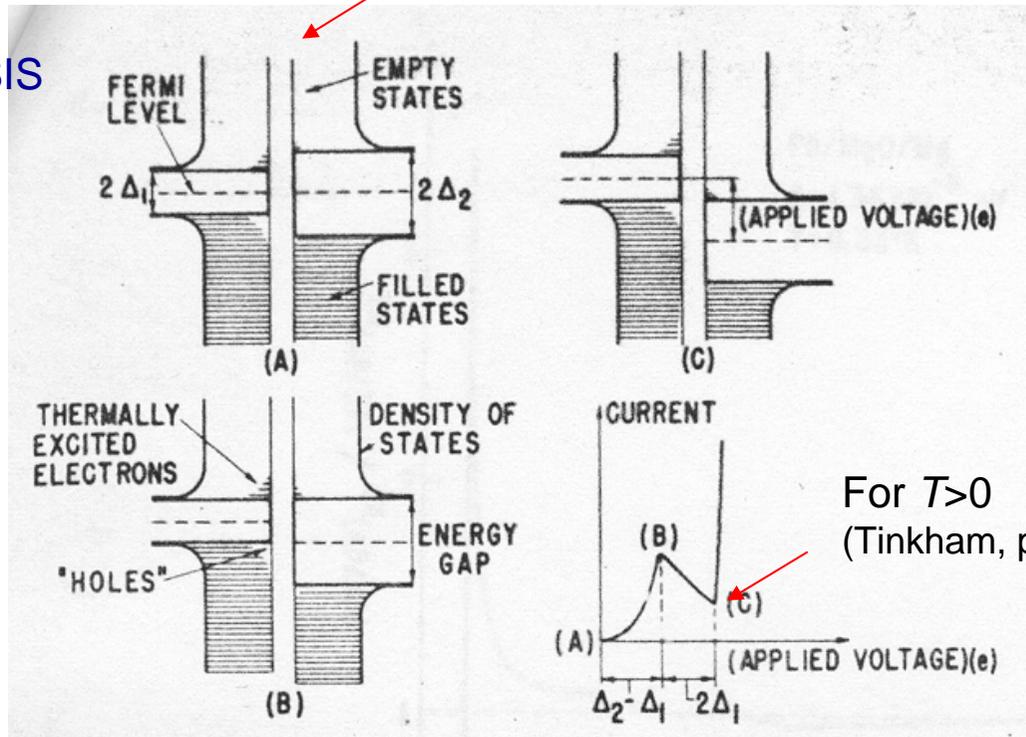


dI/dV



20-30 Å thick

- SIS



For $T > 0$
(Tinkham, p.77)

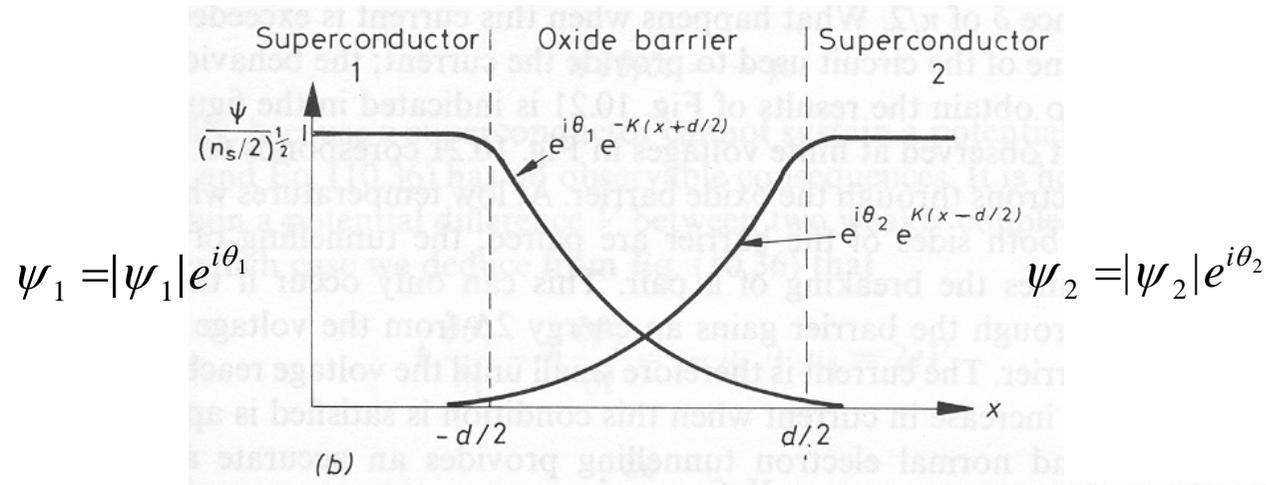


Ref: Giaever's 1973
Nobel prize lecture

Josephson effect (Cooper pair tunneling) Josephson, 1962

1) DC effect:

There is a DC current through SIS in the absence of voltage.

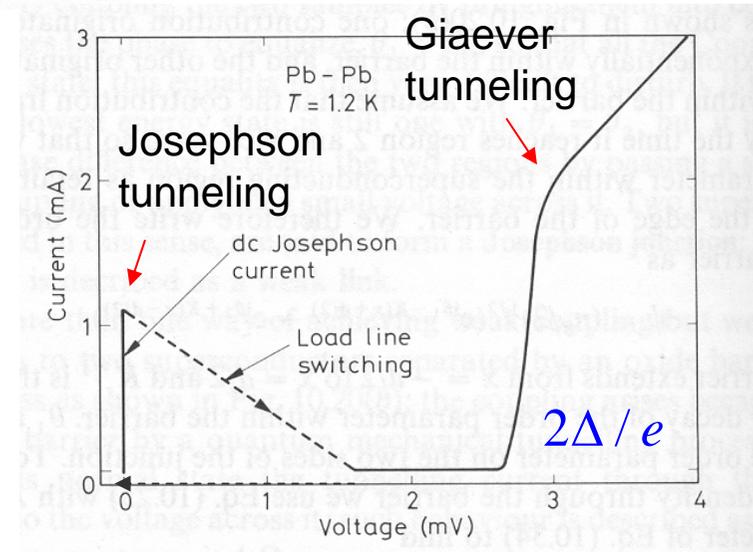


$$\psi = \sqrt{n_s / 2} \left(e^{i\theta_1 - K(x+d/2)} + e^{i\theta_2 + K(x-d/2)} \right)$$

$$\rightarrow j = \frac{i\hbar n_s}{2m} K e^{-Kd} \left(-e^{i(\theta_1 - \theta_2)} + e^{i(\theta_2 - \theta_1)} \right)$$

$$= j_0 \sin \delta_0$$

$$j_0 \equiv e\hbar n_s K e^{-Kd} / m, \delta_0 \equiv \theta_1 - \theta_2$$



2) AC Josephson effect

Apply a DC voltage, then there is a rf current oscillation.

$$\psi = \langle N-1 | \hat{\psi} | N \rangle \propto e^{-i(E_N - E_{N-1})t/\hbar} = e^{-i\mu t/\hbar}$$

$$\rightarrow \theta_i(t) = -\mu_i t / \hbar + \theta_i \quad (i = 1, 2)$$

$$\mu_1 - \mu_2 = -2eV$$

$$\therefore \delta = \frac{2eV}{\hbar}t + \delta_0 \quad \Rightarrow \quad j = j_0 \sin\left(\frac{2eV}{\hbar}t + \delta_0\right) \quad (\text{see Kittel, p.290 for an alternative derivation})$$

- An AC supercurrent of Cooper pairs with freq. $\nu = 2eV/h$, a weak microwave is generated.
- ν can be measured very accurately, so tiny ΔV as small as 10^{-15} V can be detected.
- Also, since V can be measured with accuracy about 1 part in 10^{10} , so $2e/h$ can be measured accurately.
- JJ-based voltage standard (1990):
 $1 \text{ V} \equiv$ the voltage that produces $\nu = 483,597.9$ GHz (exact)
- advantage: independent of material, lab, time (similar to the quantum Hall standard).

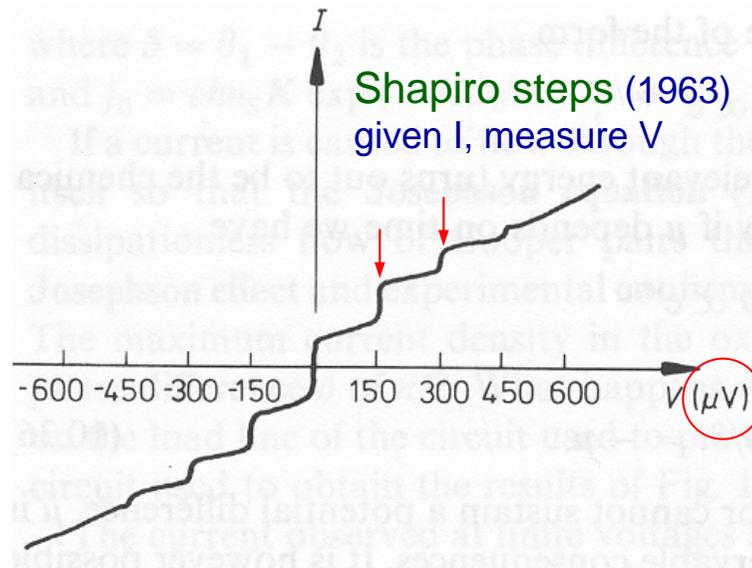
3) **DC+AC:** Apply a DC+ rf voltage, then there is a DC current

$$V = V_0 + v \cos \omega t$$

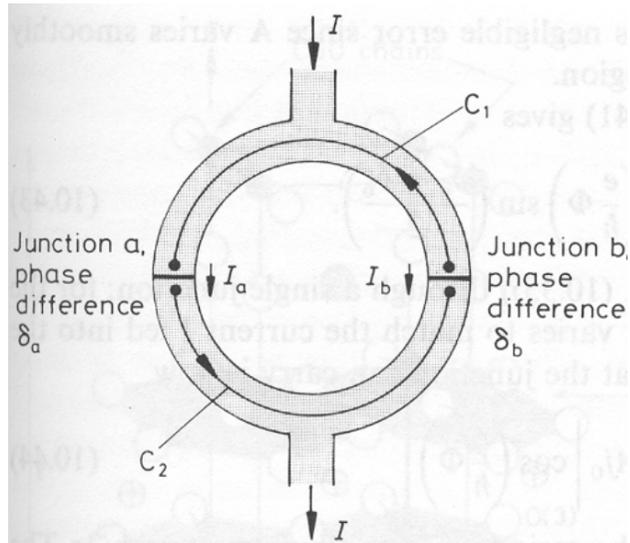
$$j = j_0 \sin \left[\frac{2e}{\hbar} \left(V_0 t + \frac{v}{\omega} \sin \omega t \right) + \delta_0 \right]$$
$$= j_0 \sum_n (-1)^n J_n \left(\frac{2ev}{\hbar\omega} \right) \sin \left(\frac{2eV_0}{\hbar} t - n\omega t + \delta_0 \right)$$

\Rightarrow there is DC current at $V_0 = n \frac{\hbar\omega}{2e}$

- Another way of providing a voltage standard



SQUID (Superconducting QUantum Interference Device)



$$j = j_0 \sin \delta_a + j_0 \sin \delta_b$$

$$= 2j_0 \cos\left(\frac{\delta_a - \delta_b}{2}\right) \sin\left(\frac{\delta_a + \delta_b}{2}\right)$$

Similar to $\oint \vec{A} \cdot d\vec{\ell} = -\frac{\hbar c}{2e} \oint \nabla \theta \cdot d\vec{\ell}$

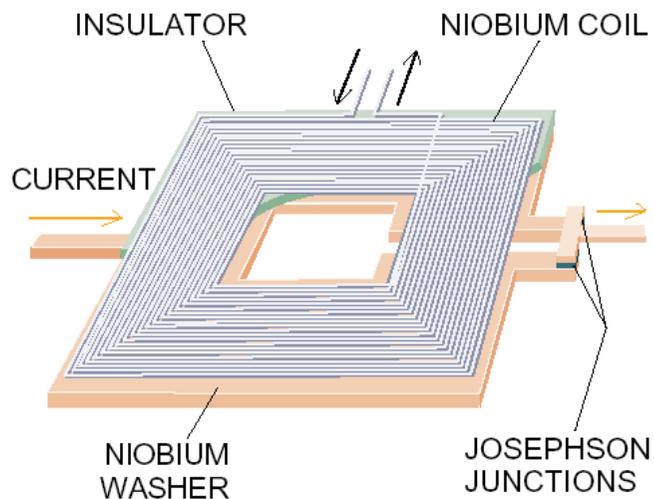
We now have $\frac{2e}{\hbar c} \int_{C_1} \vec{A} \cdot d\vec{\ell} = \theta_{b1} - \theta_{a1}$

$$\frac{2e}{\hbar c} \int_{C_2} \vec{A} \cdot d\vec{\ell} = \theta_{a2} - \theta_{b2}$$

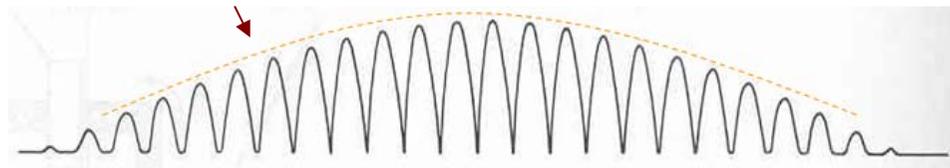
$$\Rightarrow \delta_a - \delta_b = \frac{2e}{\hbar c} \oint_C \vec{A} \cdot d\vec{\ell} = 2\pi \frac{\phi}{\phi_0}$$

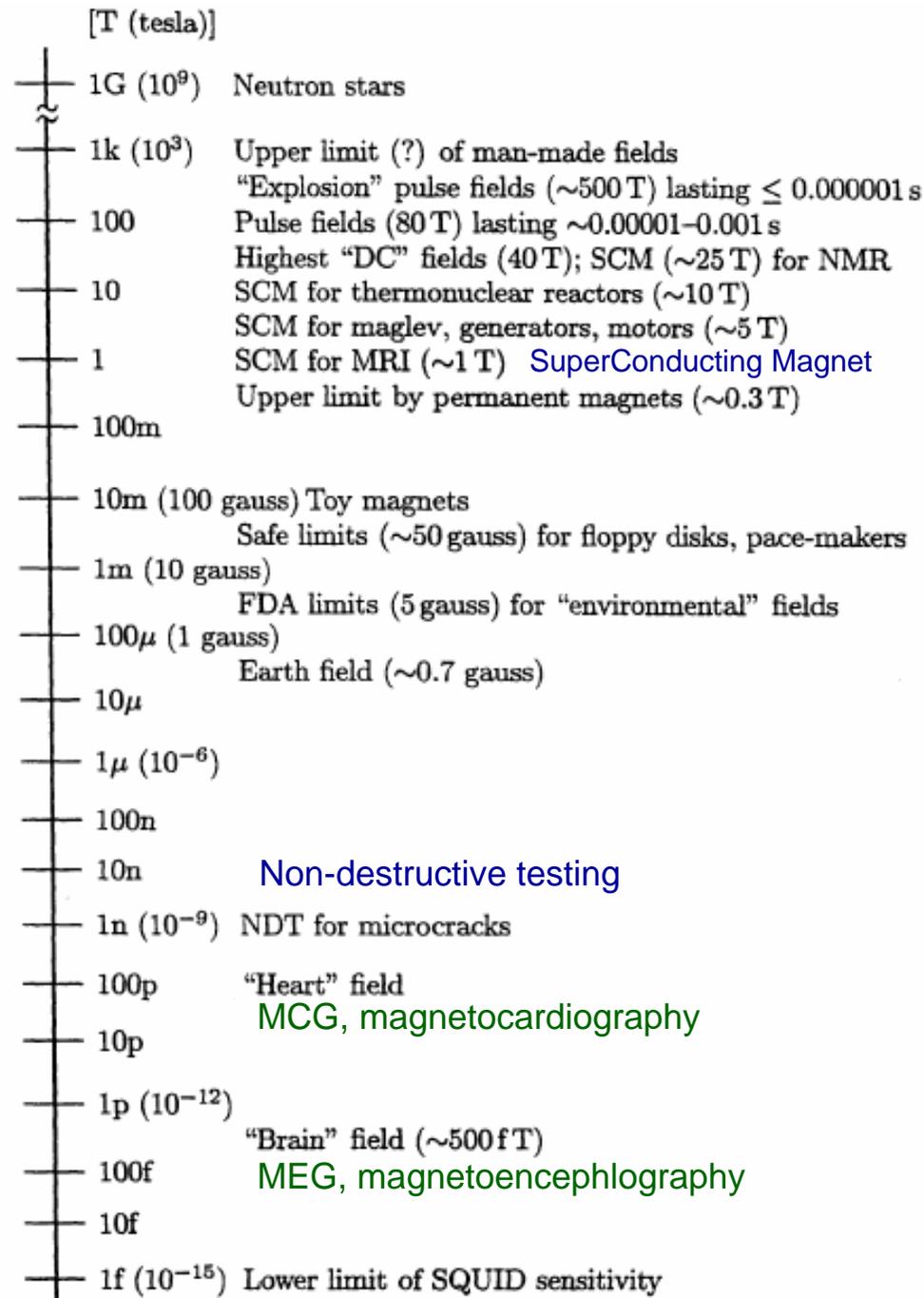
$$\therefore j_{\max} = 2j_0 \left| \cos\left(\frac{2\pi \phi}{2 \phi_0}\right) \right|$$

The current of a SQUID with area 1 cm² could change from max to min by a tiny $\Delta H = 10^{-7}$ gauss!

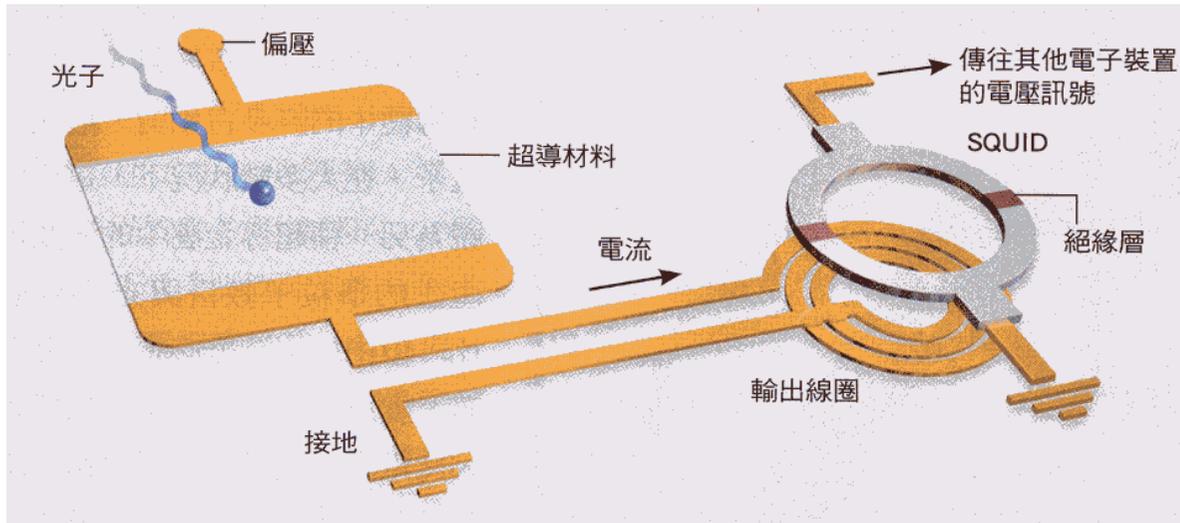


For junction with finite thickness





Super-sensitive photon detector



Transition edge sensor

