## Chap 2 Three-dimensional lattices



### **Monoatomic lattices**

| H <sup>1</sup> 4K<br>hcp<br>3.75<br>6.12 |                           | The<br>the s<br>see<br>there | data<br>state<br>Wyc    | give<br>d tei<br>koff, | Table<br>en are<br>mperat<br>Vol. | e 3<br>at roo<br>ture in<br>l, Ch | Crys<br>om t<br>n de<br>ap. | stal stru<br>empera<br>g K. Fo<br>2. Stru | ucture<br>ature<br>or fu<br>ictur | res of the for the orthogonal sector of the or | t <b>he el</b> e<br>e mos<br>lescrip<br>eled co | eme<br>t con<br>otion<br>omp | nts<br>nmor<br>s of t<br>lex ar  | forr<br>he el<br>e de   | n, or a<br>lements<br>scribed | t<br>;<br>l             |                         |                                  |  |                              |                          |                                     | He <sup>4</sup><br>hcp<br>3.57<br>5.83 |
|--|---------------------------|------------------------------|-------------------------|------------------------|-----------------------------------|-----------------------------------|-----------------------------|---|-----------------------------------|--|---|------------------------------|----------------------------------|-------------------------|-------------------------------|-------------------------|-------------------------|----------------------------------|--|------------------------------|--------------------------|-------------------------------------|--|
| Li 78K<br>bcc<br>3,491                   | Be<br>hcp<br>2.27<br>3.59 |                              |                         |                        |                                   |                                   |                             |   |                                   |  |   |                              |                                  |                         |                               | n <b>B</b><br>rho       | mb.                     | C<br>diamond<br>3.567            | N 20<br>cubic<br>5.66<br>(N <sub>2</sub> ) | Comj<br>(O <sub>2</sub>      | olex<br>)                | F                                   | Ne 4<br>fcc<br>4.46                    |
| Na 5K<br>bcc<br>4.225                    | Mg<br>hcp<br>3.21<br>5.21 | <                            |                         |                        |                                   |                                   | a lat<br>c lat              | Crystal<br>ttice par<br>ttice par         | struc<br>rame<br>rame             | cture<br>eter, in <i>i</i><br>eter, in <i>i</i>  | A   |                              |                                  |                         |                               | AI<br>fcc<br>4.(        | c<br>05                 | <b>Si</b><br>diamond<br>5.430    | P<br>comple                                | x com                        | olex                     | CI<br>complex<br>(CI <sub>2</sub> ) | Ar 4#<br>fcc<br>5.31                   |
| К 5к<br>bcc<br>5.225                     | <b>Ca</b><br>fcc<br>5.58  | Sc<br>hcp<br>3.31<br>5.27    | Ti<br>hc<br>2.9<br>4.6  | р<br>95<br>68          | V<br>bcc<br>3.03                  | Cr<br>bcc<br>2.8                  | 8                           | Mn<br>cubic<br>complex                    | Fe<br>bcc<br>2.8                  | c ho<br>37 2.<br>4.  | o<br>pp<br>51<br>07                             | Ni<br>fcc<br>3.52            | fc<br>3                          | <b>u</b><br>c<br>61     | Zn<br>hcp<br>2.66<br>4.95     | Ga                      | a<br>nplex              | Ge<br>diamond<br>5.658           | As<br>rhomb.                               | Se<br>hex.<br>chair          | 15                       | Br<br>complex<br>(Br <sub>2</sub> ) | Kr 4<br>fcc<br>5.64                    |
| Rb 5K<br>bcc<br>5.585                    | <b>Sr</b><br>fcc<br>6.08  | Y<br>hcp<br>3.65<br>5.73     | Zr<br>hci<br>3.2<br>5.1 | D<br>23<br>.5          | Nb<br>bcc<br>3.30                 | Mo<br>bcc<br>3.1                  | 5                           | Tc<br>hcp<br>2.74<br>4.40                 | Ru<br>hcp<br>2.7<br>4.2           | RI           p         fc           1         3.8  | h<br>c<br>80                                    | Pd<br>fcc<br>3.89            | A<br>fc<br>4,                    | g<br>c<br>09            | Cd<br>hep<br>2.98<br>5.62     | In<br>tet<br>3.2<br>4.9 | r.<br>25<br>95 .        | <b>Sn</b> (α)<br>diamond<br>6.49 | Sb<br>rhomb,                               | Te<br>hex.<br>chain          | s                        | l<br>complex<br>(I <sub>2</sub> )   | Xe 4<br>fcc<br>6.13                    |
| <b>Сз</b> 5К<br>bcc<br>6.045             | <b>Ba</b><br>bcc<br>5.02  | La<br>hex.<br>3.77<br>ABAC   | Hf<br>hcp<br>3.1<br>5.0 | o<br>9<br>)5           | <b>Ta</b><br>bcc<br>3.30          | W<br>bcc<br>3.10                  | 6                           | Re<br>hcp<br>2.76<br>4.46                 | Os<br>hcp<br>2.7<br>4.3           | ir<br>5 fc<br>4 3.8  | c<br>84   | Pt<br>fcc<br>3.92            | A<br>fc<br>4.                    | <b>1</b><br>c<br>08     | Hg<br>rhomb.                  | TI<br>hci<br>3.4<br>5.5 | p<br>16<br>52           | <b>Pb</b><br>fcc<br>4.95         | Bi<br>rhomb.                               | Po<br>sc<br>3.34             | 1                        | At<br>—                             | Rn<br>—                                |
| Fr                                       | Ra<br>—                   | Ac<br>fcc<br>5.31            |                         | Ce<br>fcc<br>5.1       | 6 3.<br>Al                        | r<br>ex.<br>67<br>BAC             | Nd<br>hex<br>3.66           | Pn<br>5 —                                 | n                                 | Sm<br>complex  | <b>Eu</b><br>bcc<br>4.58                        |                              | <b>Gd</b><br>ncp<br>3.63<br>5.78 | Tb<br>hcj<br>3.6<br>5.7 | p ho<br>50 3.<br>70 5.        | <b>y</b><br>59<br>65    | Ho<br>hcp<br>3.5<br>5.6 | Er<br>hcj<br>8 3.5<br>2 5.5      | <b>1</b><br>5 h<br>56 3<br>59 5            | <b>m</b><br>cp<br>.54<br>.56 | <b>Yb</b><br>fcc<br>5.48 | Lu<br>hct<br>3 3.5<br>5.5           | o<br>i0<br>i5                          |
|  |                           |                              | 110                     | Th<br>fcc<br>5.0       | P<br>te<br>8 3.<br>3.             | <b>a</b><br>tr.<br>92<br>24       | U<br>comp                   | lex com                                   | plex                              | Pu<br>complex  | Am<br>hex.<br>3.64<br>ABA                       | c                            | Cm                               | Bk                      | C                             | f                       | Es<br>—                 | Frr<br>—                         | 1 N  | ld<br>-                      | No<br>—                  | Lr<br>—                             |  |

### 1) bcc lattice (Li, Na, K, Rb, Cs... etc)



• A bcc lattice is a Bravais lattice.

But we can also treat it as a cubic lattice with a 2-point basis (to take advantage of the cubic symmetry)

### 2) fcc lattice (Ne, Ar, Kr, Xe, Al, Cu, Ag, Au... etc)



• A fcc lattice is also a Bravais lattice, but we can treat it as a cubic lattice with a 4 point basis.

3) hcp lattice (Be, Mg... etc)

- = a hexagonal lattice + a 2-point basis
- = 2 overlapping "hexagonal lattices"



Figure 22 The hexagonal close-packed structure. The atom positions in this structure do not constitute a space lattice. The space lattice is simple hexagonal with a basis of two identical atoms associated with each lattice point. The lattice parameters a and c are indicated, where a is in the basal plane and c is the magnitude of the axis  $a_3$  of Fig. 14.



Figure 23 The primitive cell has  $a_1 = a_2$ , with an included angle of  $120^\circ$ . The *c* axis (or  $a_3$ ) is normal to the plane of  $a_1$  and  $a_2$ . The ideal hcp structure has c = 1.633 a. The two atoms of one basis are shown as solid circles. One atom of the basis is at the origin; the other atom is at  $\frac{211}{332}$ , which means at the position  $\mathbf{r} = \frac{2}{3}\mathbf{a}_1 + \frac{1}{3}\mathbf{a}_2 + \frac{1}{2}\mathbf{a}_3$ .

• Primitive vectors:  $\mathbf{a}_1$ ,  $\mathbf{a}_2$ ,  $\mathbf{c}_2$  [  $\mathbf{c}=2\mathbf{a}\sqrt{2}$  (2/3) for hcp] • The 2 atoms of the basis are located at  $\mathbf{d}_1=0$  and at  $\mathbf{d}_2 = (2/3) \mathbf{a}_1 + (1/3) \mathbf{a}_2 + (1/2)\mathbf{c}$ 

### The tightest way to pack spheres:



- ABCABC...= fcc, ABAB...= hcp
- Other close packed structures: ABABCAB... etc.

### Viewing from different angles



coordination number (配位數) = 12, packing fraction ≈ 74%
 (Cf: bcc, coordination number = 8, packing fraction ≈ 68%)

## Kepler's conjecture (1611): The packing fraction of spheres in 3-dim $\leq \pi/\sqrt{18}$

# Does the proof stack up?

(the value of fcc and hcp)

... the editors of the *Annals of Mathematics* agreed to publish it, provided it was accepted by a panel of **12** referees. In 2003, after **4** years of work, the head of the referee's panel reported that the panel were "99% certain" of the correctness of the proof... (from wiki)



Grocers the world over know the most efficient way to stack spheres — but a mathematical proof for the method has brought reviewers to their knees.

4) Diamond structure (C, Si, Ge... etc)

= 2 overlapping fcc lattices (one is displaced along the main diagonal by 1/4)

= fcc lattice + a 2-point basis,  $\mathbf{d}_1 = \mathbf{0}$ ,  $\mathbf{d}_2 = (a/4)(\mathbf{x}+\mathbf{y}+\mathbf{z})$ 





- Very low packing fraction ( $\sim$  36%)
- If the two atoms on the basis are different, then it is called
- a Zincblend (閃鋅) structure (GaAs, ZnS... etc).
- It is a familiar structure with an unfamiliar name.

Crystal structure of compound

Here we mention 3 examples

1. Zincblend (or Zinc Sulfide) structure (see previous page)







<sup>2.</sup> Wurtzite (or Zinc oxide, 纖鋅) structure
= 2 overlapping hcp lattices

### 3. Perovskite (鈣鈦礦 or 鈦酸鈣) structure, ABO<sub>3</sub> (1839)





oxidation state examples II / IV A<sup>2+</sup> / B<sup>4+</sup> BaTiO<sub>3</sub> Pb(Zr,Ti)O<sub>3</sub> I/V A<sup>+</sup>/B<sup>5+</sup> KTaO<sub>3</sub> III / III A<sup>3+</sup> / B<sup>3+</sup> LaMnO<sub>3</sub>

application: nonlinear resistors (PTC), SMD-capacitors, piezoelectric sensors and actuators, pyro-detectors, ferroelectric memory

Materials and Devices in **Electrical Engineering** 

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**Positive Temperature Coefficient** 

Surface Mount Device

### Symmetries of 3D crystals

#### POINT AND SPACE GROUPS OF BRAVAIS LATTICES AND CRYSTAL STRUCTURES

|                         | BRAVAIS LATTICE<br>(BASIS OF SPHERICAL SYMMETRY) | CRYSTAL STRUCTURE<br>(BASIS OF ARBITRARY SYMMETRY) |
|-------------------------|--|--|
| Number of point groups: | 7<br>("the 7 crystal systems")                   | 32<br>("the 32 crystallographic point groups")     |
| Number of space groups: | 14<br>("the 14 Bravais lattices")                | 230<br>("the 230 space groups")                    |

Ashcroft and Mermin, p.120

Note:

- 1. The Bravais lattices were studied by M.L. Frankenheim in 1842, who found that there were 15 Bravais lattices. This was corrected to 14 by A. Bravais in 1848.
- 2. The 230 space groups were enumerated by Fydorov, Schonflies, and Barlow in the 1890's.

7 point groups (aka 7 "crystal systems")



|          | Crystal System | Lattice Parameters | Lattice Angles                                  |
|----------|----------------|--------------------|---|
|          | Cubic          | a = b = c          | $\alpha = \beta = \gamma = 90^{\circ}$          |
|          | Tetragonal     | a = b ≠ c          | $\alpha = \beta = \gamma = 90^{\circ}$          |
|          | Orthorhombic   | a≠b≠c              | $\alpha = \beta = \gamma = 90^{\circ}$          |
| trigonal | Rhombohedral   | a = b = c          | $\alpha = \beta = \gamma \neq 90^{\circ}$       |
|          | Hexagonal      | a = b ≠ c          | α = β = 90°, γ = 120°                           |
|          | Monoclinic     | a≠b≠c              | <b>α = γ = 90</b> °, β ≠ <b>90</b> °            |
|          | Triclinic      | a≠b≠c              | $\alpha \neq \beta \neq \gamma \neq 90^{\circ}$ |

| <u> </u>     |  |            |              |                         |              |
|--------------|--|------------|--------------|-------------------------|--------------|
| Bravais      | Parameters   | Simple (P) | Volume       | Base                    | Face         |
| lattice      |  |            | centered (I) | centered (C)            | centered (F) |
| Triclinic    | $a_1 \neq a_2 \neq a_3$ $\alpha_{12} \neq \alpha_{23} \neq \alpha_{31}$                        |            |              |                         |              |
| Monoclinic   | $a_1 \neq a_2 \neq a_3$ $\alpha_{23} = \alpha_{31} = 90^{\circ}$ $\alpha_{12} \neq 90^{\circ}$ |            |              |                         |              |
| Orthorhombic | $a_1 \neq a_2 \neq a_3$ $\alpha_{12} = \alpha_{23} = \alpha_{31} = 90^{\circ}$                 |            |              |                         |              |
| Tetragonal   | $a_1 = a_2 \neq a_3$ $\alpha_{12} = \alpha_{23} = \alpha_{31} = 90^{\circ}$                    |            |              |                         |              |
| Trigonal     | $a_1 = a_2 = a_3$<br>$\alpha_{12} = \alpha_{23} = \alpha_{31} < 120^{\circ}$                   |            |              | Same as S<br>tetragonal | and VC       |
| Cubic        | $a_1 = a_2 = a_3$<br>$\alpha_{12} = \alpha_{23} = \alpha_{31} = 90^{\circ}$                    |            |              |                         |              |
| Hexagonal    | $a_1 = a_2 \neq a_3$ $\alpha_{12} = 120^{\circ}$ $\alpha_{23} = \alpha_{31} = 90^{\circ}$      |            |              |                         |              |

### 14 space groups (14 Bravais lattices)

- 32 point groups for crystal structure
- 5 cubic point groups



• 27 non-cubic point groups



- $S_n$ : These groups contain only an *n*-fold rotation-reflection axis.  $D_n$ : In addition to an *n*-fold rotation axis, these groups contain a 2-fold axis
- perpendicular to the *n*-fold axis, plus as many additional 2-fold axes as are required by the existence of the *n*-fold axis.
- $D_{nh}$ : These (the most symmetric of the groups) contain all the elements of  $D_n$  plus a mirror plane perpendicular to the *n*-fold axis.
- $D_{nd}$ : These contain the elements of  $D_n$  plus mirror planes containing the *n*-fold axis, which bisect the angles between the 2-fold axes.



### Microscopic symmetry ↔ macroscopic properties

### • appearance

Prob.2.9: Haüy's law regarding the angles between crystal faces





• birefringence (eg. Calcite) 方解石





• optical activity 旋光性



• piezoelectricity 壓電 (eg. Quartz)



- piezoelectricity are only possible in crystals that have no center of inversion symmetry
- Of the 32 crystallographic point groups,
  21 have no inversion symmetry (thus can support piezoelectricity). However, Cubic-432 (i.e. O) is impossible, so only 20. for details, see

capsicum.me.utexas.edu/ChE386K/docs/20a\_Physical\_Properties.ppt

- pyroelectricity 熱電 (eg. LiTaO3, Lithium Tantalate 鉭酸鋰)
  - The 20 piezoelectric crystal classes can be divided into 2 classes: polar and nonploar.
  - Polar point groups have a "unique" axis that is not repeated in any direction (They are: 1, 2, m, 2mm, 3, 3m, 4, 4mm, 6, 6mm). They can support spontaneous polarization without any mechanical stress, such as pyroelectricity.

A pyroelectric can be repeatedly heated and cooled to generate electrical power. (~ a heat engine)